

EXHIBIT 86

Чат: romanlavlinskiy

Subject: Чат: romanlavlinskiy
From: romanlavlinskiy <romanlavlinskiy@gmail.com>
Date: 11/23/2012 4:12 AM
To: veesodef@gmail.com

romanlavlinskiy: отправил
romanlavlinskiy: будет нужен код-скажи
я: Ром, давай код
romanlavlinskiy: меняю на пин
я: ■■■28
я: стоп
я: ■■■25
romanlavlinskiy: ■■■7134
я: once more please
romanlavlinskiy: ■■■6048
я: ты уверен что пин правильно вводишь?
я: давай еще раз
romanlavlinskiy: ■■■069
я: меня не пускает
romanlavlinskiy: что говорит?
я: Account Management Login

failed

romanlavlinskiy: у меня так тоже было
romanlavlinskiy: раза с 4 вошел
romanlavlinskiy: у них система глючит
я: ну давай ысчо
romanlavlinskiy: ■■■518
я: хуй
romanlavlinskiy: а кабинет грузить начинает?
я: нет
romanlavlinskiy: хmmm
romanlavlinskiy: ты точно не на мой счет входишь?
я: может ты че с пином не так делаешь, хз
я: точно
romanlavlinskiy: а че с ним можно не так сделать?
я: пароль пропускает
romanlavlinskiy: вбил пин, нажал ок
romanlavlinskiy: пин ■■■828
romanlavlinskiy: получил код
я: хз, не знаю. может ты медленно делаешь, там 30 секунд код действителен
я: пин я ж написал 6825
romanlavlinskiy: ну давай ща ты пароль и логин вобъешь и дашь мне отмашку
я: потом исправил

я: пин ■■■25

romanlavlinskiy: ааа, ну все ясно

romanlavlinskiy: я не тот пин вводил

я: ок, 5 сек

romanlavlinskiy: пробуем еще раз

я: ща скажу

я: давай

romanlavlinskiy: ■■■648

я: ,kz

я: бля

я: опятьэ

я: давай еще

я: 6825

romanlavlinskiy: ■■■5076

я: похоже сработало

romanlavlinskiy: урраааа

я: там bank wire нам нужен?

romanlavlinskiy: да

я: из лично моих реквизитов там нужен только bank account number&

я: ?

romanlavlinskiy: да

romanlavlinskiy: все остальное либо я написал либо у них автоматически определиться

я: я тебе скрин прислал, проверь плиз

romanlavlinskiy: вроде да

я: swift без пробелов

я: ?

romanlavlinskiy: да

я: кроме сфифта в поле swift/bic ты больше ниче не писал?

romanlavlinskiy: не писал

я: проверь следующ

romanlavlinskiy: да

я: 360 ?

romanlavlinskiy: ну вроде столько же насчитали

я: да

я: я на всякий

romanlavlinskiy: да

я: ,kz

я: fuck

romanlavlinskiy: что такое

romanlavlinskiy: Саня, нехорошо сказать пиздец и потом молчать

я: давай код, все хорошо

romanlavlinskiy: ■■■7179

я: все ок

я: в 14-00 встречаемся?

я: точнее 13-50

Чат: romanlavlinskiy

я: ага?

я: а у тебя тоже написано было новосибирская область в банк локейшон?

romanlavlinskiy: да, по обоим вопросам

EXHIBIT 87

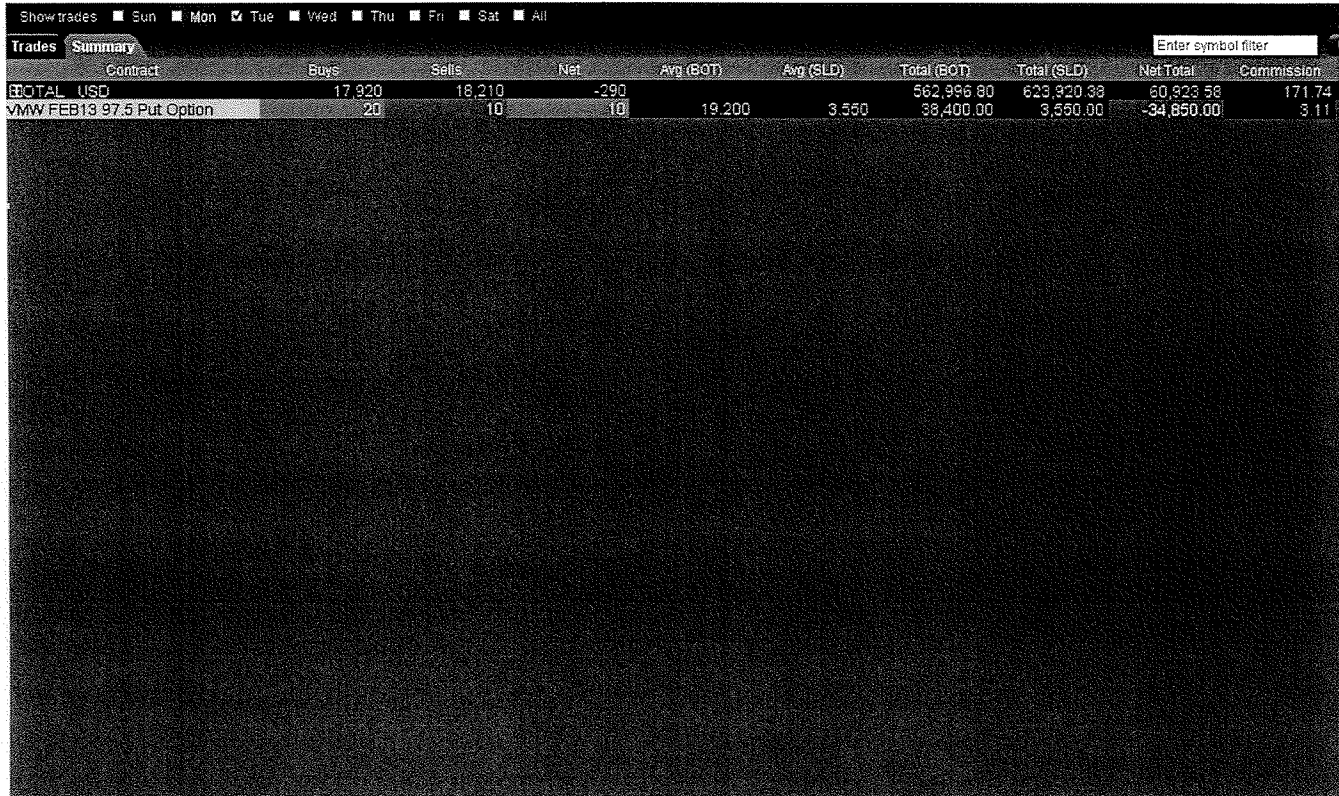
Subject:

From: Роман Лавлинский <romanlavlinskiy@gmail.com>

Date: 1/29/2013 1:27 PM

To: Alexander Fedoseev <veesodef@gmail.com>

—скрин vmw.PNG—



The screenshot shows a trading platform interface with a 'Summary' tab selected. The table displays trade data for 'VMWFEB13 97.5 Put Option'. The columns include Contract, Buys, Sells, Net, Avg (BOT), Avg (SLD), Total (BOT), Total (SLD), Net Total, and Commission. The data shows a net position of -290 contracts, with a total buy volume of 17,920 and a total sell volume of 18,210. The net total value is -34,850.00, and the commission is 3.11.

Contract	Buys	Sells	Net	Avg (BOT)	Avg (SLD)	Total (BOT)	Total (SLD)	Net Total	Commission
TOTAL USD	17,920	18,210	-290			562,996.80	623,920.38	60,923.58	171.74
VMWFEB13 97.5 Put Option	20	10	-10	19.200	3.550	38,400.00	3,550.00	-34,850.00	3.11

—Attachments:—

скрин vmw.PNG

24.5 KB

EXHIBIT 88

From: account@thinkorswim.com
Sent: Thursday, October 14, 2010 10:34 PM
To: romanlavlinskiy@gmail.com
Subject: thinkorswim Portfolio Margining



[Account Information]

Hello Roman Lavlinskiy-

Congratulations! After reviewing your account and your paperwork we have approved account ****3933 for Portfolio Margining. At the start of tomorrow's bell you will see the new margin method being computed for stock and option positions in your account. To let you know, if your account falls below \$100,000 you will have two days to bring this above \$100,000 or your account will be removed from the system.

If you would like to review the principles and requirements for portfolio margining please click on the thinkorswim webpage below.

<http://www.thinkorswim.com/tos/displayPage.tos?webpage=portfolioMargin>

Thank you for your continued business with thinkorswim, Inc.

Best Regards,

Don

Don Roberts
Managing Director

866 839 1100 ext 3216
773 435 3216 direct
312 212 5862 fax

SEC-TD-E-0015409

thinkorswim, inc.
600 west chicago avenue, suite #100
chicago, IL 60654-2597

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This e-mail is sent by a financial firm and contains information that may be privileged and confidential.
If you are not the intended recipient, please delete the e-mail and notify us immediately.

(sent to Roman Lavlinskiy at romanlavlinskiy@gmail.com)

www.thinkorswim.com

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The risk of loss in trading securities, options, futures and forex can be substantial. Clients must consider all relevant risk factors, including their own personal financial situation, before trading. Options involve risk and are not suitable for all investors. See the Options Disclosure Document: Characteristics and Risks of Standardized Options. A copy can be requested via email at support@thinkorswim.com or via mail to 600 W. Chicago Ave., #100, Chicago, IL 60654-2597. Trading foreign exchange on margin carries a high level of risk, as well as its own unique risk factors. Forex investments are subject to counter-party risk, as there is no central clearing organization for these transactions. Please read the Forex Risk Disclosure before considering the trading of this product. A forex dealer can be compensated via commission and/or spread on forex trades. TD AMERITRADE is subsequently compensated by the forex dealer. Futures and forex accounts are not protected by the Securities Investor Protection Corporation (SIPC). Supporting documentation for any claims, comparisons, recommendations, statistics, or other technical data, will be supplied upon request.

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EXHIBIT 89



Penson Financial Services, Inc.
New Account Form - Portfolio Margin Account (NAF-PM)

Office Code: 00 RR# 00
 Account Number: [REDACTED] 3933 Account Approval Date: 12/24/09

Is this account for a Foreign Bank?

☐ Yes / ☒ No. If yes, please list U.S. agent for service of process: _____

Name of Primary Account Holder or Title of Account: Roman Lavlinsky
 (Write name exactly as it appears on Social Security Card or Fed ID Registration)

Primary Account Holder Information:

SSN, Fed ID, Cedula, NIT#:	<u>[REDACTED] 32467</u>	Client Home Phone:	
Driver's License Number:	<u>Passport</u>	Date of Birth:	<u>[REDACTED] 1985</u>
Physical Address (No P.O. Boxes):	<u>Svobody Str., 1b-26</u>	Marital Status:	<u>Single</u>
City, State, Zip:	<u>Voronezh 394018</u>	Country:	<u>Russia</u>
Mailing Address (if different):			
City, State, Zip: Country:		Country:	
Employer's Name:	<u>Self Employed</u>	Occupation:	
Employer's Address:		Employer's Phone:	
City, State, Zip:		Country:	
Email Address:			
Associated person of a Broker?	<input type="checkbox"/> Yes / <input checked="" type="checkbox"/> No (If Yes, please name):		

Name of Secondary Account Holder (if Applicable): _____
 (Write name exactly as it appears on Social Security Card or Fed ID Registration)

Secondary Account Holder Information:

Relationship to Primary Account Holder:			
SSN, Fed ID, Cedula, NIT#:		Client Home Phone:	
Driver's License Number:		Date of Birth:	
Physical Address (No P.O. Boxes):			
City, State, Zip:		Country:	
Mailing Address (if different):			
City, State, Zip: Country:		Country:	
Employer's Name:		Occupation:	
Employer's Address:		Employer's Phone:	
City, State, Zip:		Country:	
Email Address:			
Associated person of a Broker?	<input type="checkbox"/> Yes / <input type="checkbox"/> No (If Yes, please name):		

Client Information:

Primary:

Are you a U.S. Citizen? ☐ Yes / ☐ No

Resident Alien? ☐ Yes / ☐ No Country of Birth: _____

Non-Resident Alien? ☒ Yes / ☐ No Country of Residence: Russia

Secondary:

Are you a U.S. Citizen? ☐ Yes / ☐ No

Resident Alien? ☐ Yes / ☐ No Country of Birth: _____

Non-Resident Alien? ☐ Yes / ☐ No Country of Residence: _____

plc



How long has the Account Holder known the Broker?	Self directed trader
Who introduced the Account Holder and Broker?	thinkorswim, Inc.
Is account holder a control person? (Officer, Director or 10% stock owner)	<input type="checkbox"/> Yes / <input checked="" type="checkbox"/> No
If Yes, Please list the company(s) controlled & position:	
Is client an employee of Insurance Co., Bank, Fund, Securities firm or Investment Advisor?	<input type="checkbox"/> Yes / <input checked="" type="checkbox"/> No
If Yes, Please list the company name, address and phone number, and position held:	

Investment Objectives: (* If more than one, please rank 1-8)	
<input checked="" type="checkbox"/> Long term growth with safety (long term capital appreciation with relative safety of principal)	A
<input type="checkbox"/> Short term growth with high risk (Appreciation with acceptance of high risk)	B
<input checked="" type="checkbox"/> Speculative (want increase in value of investments - High Risk)	C
<input checked="" type="checkbox"/> Income (want to use proceeds of the acct. as a source of income)	H
<input type="checkbox"/> Growth and Income (preserve capital as much as possible)	I
<input type="checkbox"/> Long term growth with greater risk - Aggressive Growth (trade volatile securities that have wide changes in price)	J
<input type="checkbox"/> Balanced (Diversification of asset classes for equal blend of income and long-term growth)	M
<input type="checkbox"/> Capital Appreciation (High Risk, capital growth invested primarily in stocks and options)	N

Tax Information:	
# Of Dependents	0
Tax Bracket	20%
Initial Deposit	\$238,200 %
Initial Transaction	\$ -
Source of Funds	Wire

Income:		Net Worth:		Liquid Net Worth:		Payment Instructions:	
<input type="checkbox"/> \$0 - \$24,999	<input type="checkbox"/> \$0 - \$25,000	<input type="checkbox"/> \$0 - \$25,000	A	Securities: <input type="checkbox"/> Transfer & Ship (1) <input type="checkbox"/> Hold St. Name (2) Money: <input type="checkbox"/> Pay (1) <input type="checkbox"/> Hold (7) Dividends: <input type="checkbox"/> Pay Weekly (1) <input type="checkbox"/> Pay Monthly (1) <input type="checkbox"/> Hold (4) Principal & Maturity: <input type="checkbox"/> Credit to Account <input type="checkbox"/> Send Payment Process checks: <input type="checkbox"/> Monthly <input type="checkbox"/> Weekly Money Market Sweeps: <input type="checkbox"/> Yes / <input type="checkbox"/> No - If Yes, List Fund:			
<input type="checkbox"/> \$25,000 - \$39,999	<input type="checkbox"/> \$25,000 - 39,999	<input type="checkbox"/> \$25,000 - 39,999	B				
<input type="checkbox"/> \$40,000 - \$64,999	<input type="checkbox"/> \$40,000 - 64,999	<input type="checkbox"/> \$40,000 - 64,999	C				
<input type="checkbox"/> \$65,000 - 124,999	<input type="checkbox"/> \$65,000 - 124,999	<input type="checkbox"/> \$65,000 - 124,999	D				
<input checked="" type="checkbox"/> \$125,000 - 249,999	<input type="checkbox"/> \$125,000 - 249,999	<input type="checkbox"/> \$125,000 - 249,999	E				
<input type="checkbox"/> \$250,000 - \$499,999	<input type="checkbox"/> \$250,000 - \$499,999	<input checked="" type="checkbox"/> \$250,000 - \$499,999	F				
<input type="checkbox"/> \$500,000 - \$999,999	<input type="checkbox"/> \$500,000 - \$999,999	<input type="checkbox"/> \$500,000 - \$999,999	G				
<input type="checkbox"/> \$1,000,000 - Over	<input checked="" type="checkbox"/> \$1,000,000 - Over	<input type="checkbox"/> \$1,000,000 - Over	H				

Investment Experience:	Years of Experience:	Average Dollar Amount:	Number of transactions per year:
Futures:	6	\$	51-100
Options:	6	\$	51-100
Stocks:	6	\$	101-200
Bonds:	5	\$	1-10
Commodities:		\$	
Other (Specify):		\$	

Previous Options Experience (Years)		
STRATEGY	STOCK OPTIONS	INDEX OPTIONS
Buying (Includes Debit Spreads & Straddles):	6	6
Covered Writing:	6	6
Spreads:	6	6
Uncovered Writing:	6	6

If this is an entity account (i.e. corporations, partnerships, limited liability corporations, trusts), answer the following questions:

Is this account for a foreign shell bank? ☐ Yes / ☒ No

Does this entity offer services to a foreign shell bank? ☐ Yes / ☒ No

* If this account is for a foreign bank or 'Yes' was answered to either of the last two questions, the entity must complete the Certification Regarding Correspondent Accounts.

pl



Account # [REDACTED] 3933

Type of Registration:

<input checked="" type="checkbox"/>	Accredited Investor
<input type="checkbox"/>	Corporate Account
<input type="checkbox"/>	Partnership
<input type="checkbox"/>	Limited Liability Corporation
<input type="checkbox"/>	Trust

Duplicate Confirmations:

Please send Duplicate confirms to the following address:
N/A

Authorized Person:

If a person, other than the primary and/or secondary account holder will be operating this account, list Name, Address, ID# & Employer:
Is this a Discretionary account? <input type="checkbox"/> Yes / <input checked="" type="checkbox"/> No

BROKER USE ONLY:**Day Trading:**

Approved for Day Trading Strategy?	<input type="checkbox"/> Yes / <input checked="" type="checkbox"/> No
Day Trading Risk Disclosure Statement Delivered?	<input checked="" type="checkbox"/> Yes / <input type="checkbox"/> No
Date Day Trading Disclosure was Delivered:	12/21/09

Option Trading Approvals:

Covered Call Writing:	<input checked="" type="checkbox"/> Yes / <input type="checkbox"/> No
Purchasing Options: (Puts for Safety or Leverage / Call for Leverage)	<input checked="" type="checkbox"/> Yes / <input type="checkbox"/> No
Uncovered Option Writing:	<input checked="" type="checkbox"/> Yes / <input type="checkbox"/> No
Other Options Transactions:	<input checked="" type="checkbox"/> Yes / <input type="checkbox"/> No

Document Deliveries:

Please note date of delivery for the following:	
Privacy Policy:	12/21/09
Margin Risk Disclosure Statement:	12/21/09
Characteristics of Risks of Standard Options:	12/21/09
Special Statement for Uncovered Option Writers:	12/21/09
Portfolio Margin Risk Disclosure Statement:	1/28/10

Signatures:

Registered Rep Signature:	<i>[Signature]</i>
Branch Manager Signature:	<i>[Signature]</i>
Designated Officer Signature:	<i>[Signature]</i>
Registered Option Principal Signature:	<i>[Signature]</i>

Portfolio Margin Test

1. Do you know how to access account information online? ☒ Yes ☐ No
2. Do you know that Penass's policy requires portfolio margin calls be met the day immediately following the day of the call issuance? ☒ Yes ☐ No
3. You establish the following positions:
Long 1,000 OEX JUN 640 Calls @ \$21.00
Long 1,000 OEX JUN 640 Puts @ \$10.76
What is the traditional margin requirement?
a. \$3,176,000
b. \$1,388,000
c. \$1,024,000
d. \$317,600
☒ e. None of the above
4. You establish the following position:
Short 1 ABC \$0 Put @ \$2.25
If the price of ABC is \$40 at expiration, what is the profit or loss from this short put position?
a. \$750 Profit
b. \$750 Loss
c. \$775 Profit
☒ d. \$775 Loss
e. \$1,000 Loss
5. Which of the following is NOT permitted when an account is restricted to "Liquidation Orders Only"?
a. Depositing additional funds or securities to the account
☒ b. Placing an order to open an naked position
c. Buying to close an uncovered position
d. Selling to close a long position
e. None of the above is permitted
6. You have opened several accounts with XYZ Broker. Which of the following is an account under identical ownership as your individual portfolio margin account?
☒ a. Your Roth Individual Retirement Account
b. Your Individual Margin Account
c. Your Joint With Rights Of Survivorship Account
d. Your Corporate Account
e. Your 401k Account
7. You have already been approved for covered call writing but cannot be re-approved for which of the following in order to participate in portfolio margining?
a. Purchasing Straddles
b. Purchasing Spreads
c. Purchasing Options
d. Short-selling
☒ e. Selling Uncovered Options
8. The following positions belong in the same "security class" or "product group" as a long position in MSFT, EXCEPT
a. Short puts with the obligation to purchase MSFT shares
b. Short calls with the obligation to sell MSFT shares
c. Long position in the QQQ, tracking the NASDAQ 100 index, of which MSFT is a major component
d. Long LEAPS options on MSFT
☒ e. Long position in MSFT preferred shares
9. All LEAPS
a. are unlisted derivatives of equity indices
b. are options on commodities and futures contracts
☒ c. are issued with longer life than standard options
d. are options on Exchange Traded Funds
e. are options on individual stocks
10. Which of the following is a synthetic long put?
a. Long stock, short put
b. Long stock, short call
c. Long stock, long put
d. Short stock, long put
☒ e. Short stock, long call
11. To hedge a long position in IBM, a customer can do all of the following EXCEPT
a. Buy to open of the money IBM put
b. Buy to open in the money IBM put
c. Sell to open out of the money IBM calls
☒ d. Sell to open in the money IBM puts
e. All of the above
12. A customer establishes the following position:
Short 1,000 IBM 100 Call
Short 1,000 IBM 100 Put
If the price of IBM is \$100 at expiration, what is the resulting IBM position in the customer's account on the business day following the expiration?
a. Flat or no position in IBM
b. Long 100,000 shares in IBM
c. Short 100,000 shares in IBM
d. Both (b) and (c)
☒ e. Unable to determine
13. A call writer hoping to benefit from the time decay of the option premium would use which of the following measures?
a. Theta, expressed in percentage
☒ b. Theta, expressed in dollars
c. Delta, expressed in percentage
d. Delta, expressed in dollars
e. Gamma, expressed in percentage
14. Which of the following measures the change in an option value given one percent change in the volatility?
a. Delta
b. Gamma
c. Theta
☒ d. Vega
e. Rho
15. Which of the following measures the change in an option value given one percent change in interest rate?
a. Delta
b. Gamma
c. Theta
d. Vega
☒ e. Rho
16. Which of the following is NOT a primary component in theoretical option pricing calculation?
a. Annual interest rate
☒ b. Quarterly dividend amount
c. Change in volatility
d. Strike price
e. Days-to expiration
17. The price of IBM is \$100. You establish the following position:
Long 1 IBM 100 Call @ \$4.50
If the delta of the call is .50, what would be the theoretical price of the option if the stock decreased by \$1?
a. \$2.50
b. \$3
c. \$3.50
☒ d. \$4
e. \$4.50
18. You establish the following position:
Short 1 XYZ 100 Put @ \$4
If the delta of the put is 0.50 and the gamma is 0.0673, what would be the new delta if XYZ increases from \$100 to \$101?
a. 0.3634
☒ b. 0.4327
c. 0.5673
d. 0.6336
e. 0.7692

Client Initials: LawlinskiyDate: 28.01.2010



773 435 3210 Voice
773 435 3232 Fax

Portfolio Margining Risk Disclosure Statement

Overview of Portfolio Margining

1.) Portfolio margining is a margin methodology that sets margin requirements for an account based on the greatest projected net loss of all positions in a "security class" or "product group" as determined by an options theoretical pricing model using multiple pricing scenarios. These pricing scenarios including scenarios for options are designed to measure the theoretical loss of the positions given changes in both the underlying price and implied volatility inputs to the model.

2.) The goal of portfolio margining is to set levels of margin that more precisely reflect actual net risk. The customer benefits from the portfolio margining in that margin requirements calculated on net risk are generally lower than alternative "position" or "strategy" based methodologies for determining margin requirements. Lower margin requirements allow the customer more leverage in an account.

Customers Eligible for Portfolio Margining

3.) To be eligible for portfolio margining, customers (other than broker-dealers or members of a national futures exchange) must be approved for writing uncovered options. If a customer (other than a broker dealer or members of a national futures exchange) wishes to trade in unlisted derivatives, the customer must have and maintain at all times account equity of not less than five million dollars, aggregated across all accounts under identical ownership at the clearing broker. This identical ownership requirement excludes accounts held by the same customer in different capacities (e.g., as a trustee and as an individual) and accounts where ownership is overlapping but not identical (e.g., individual accounts and joint accounts). In addition to the requirements of the self-regulatory organization rule, thinkorswim, Inc. and its clearing firm Penson Financial Services, Inc. reserves the right to mandate that each account must have a net liquidating value of at least \$125,000 to be eligible. Portfolio Margin is only available to margin (non-IRA) accounts. Smaller accounts can not be combined to meet the \$125,000 requirement.

Positions Eligible for a Portfolio Margin Account

4.) All Margin equity securities (as defined in Section 220.2 of Regulation T of the Board of Governors of the Federal Reserve System), warrants on equity securities or on indices of equity securities, equity-based or equity-index based listed options, and security futures products (as defined in Section 3(a)(56) of the Securities Exchange Act of 1934) are eligible for a portfolio margin account. In addition, a customer that has an account with equity of at least five million dollars may establish and maintain positions in unlisted derivatives (e.g., OTC swaps, options) on an equity security or index of equity security or index of equity securities that can be priced by a theoretical pricing model approved by the Securities and Exchange Commission (SEC).



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Special Rules for Portfolio Margin Accounts

5.) A portfolio margin account or sub-account will be subject to a minimum margin requirement of \$.375 for each listed option, unlisted derivative and security futures product, multiplied by the contract's or instrument's multiplier, carried long or short in the account. Other eligible products are not subject to a minimum margin requirement.

6.) If an account falls below \$100,000 this will create a Portfolio Margin deficiency. A margin deficiency in the portfolio margin account or sub-account, regardless of whether due to new commitments or the effect of adverse market movements on existing positions, must be met within one business day. Failure to meet a portfolio margin deficiency prior to the end of the first business day will result in a prohibition on entering any new orders, with the exception of new orders that reduce the margin requirement. Failure to meet a portfolio margin deficiency by the end of the second business day will result in immediate liquidation of positions on the second business day, to the extent necessary to eliminate the margin deficiency.

7.) Any shortfall in aggregate equity in an account, when required, must be met within one business day. Failure to meet a minimum equity deficiency by the end of the second business day will result in a prohibition on entering any new orders, with the exception of new orders that reduce the margin requirement, beginning the second business day and continuing until such time as the minimum equity requirement is satisfied, or if applicable, all unlisted derivatives are liquidated or transferred out of the portfolio margin account.

8.) When a broker-dealer carries a standard cash account or margin account for a customer, the broker-dealer is limited by the rules of the SEC and Options Clearing Corporation (OCC) to the extent to which the broker-dealer may permit the OCC to have a lien against long positions in those accounts. In contrast, the OCC will have a lien against all long option positions that are carried by a broker-dealer in a portfolio margin account, and this could under certain circumstances, result in greater losses to a customer having a long option positions in such an account in the event of the insolvency of the customer's broker. Furthermore, thinkorswim, Inc. and/or Penson Financial Services, Inc. has a lien on all long positions in a portfolio margin account, including margin equity securities, even if fully paid. Accordingly, to the extent that a customer does not borrow against long options and margin equity positions in a portfolio margin account or have margin or have margin requirements in the account against which the long options or margin equity securities can be credited, there is no advantage to carrying the long options and margin equity securities in a portfolio margin account and the customer should consider carrying them in an account other than a portfolio margin account.

9.) Customers participating in portfolio margining will be required to sign an agreement acknowledging that their security positions and property in the portfolio margin account will be subject to the customer protection provisions of Rule 15c3-3 under the Securities and Exchange Act of 1934 and the Securities Investor Protection Act.

10.) Penson Financial reserves the right to disable portfolio margin on an account if the account falls below \$100,000 or a call is not met in a timely fashion. Additionally, there is no guarantee that portfolio margin will be reinstated on an account that was removed from the Portfolio Margin System.



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Special Risk of Portfolio Margin Accounts

11.) Portfolio margining generally permits greater leverage in an account, and greater leverage creates greater losses in the event of adverse market movements.

12.) All accounts are approved for Short Uncovered Option positions therefore each customer must know and understand the risks of these types of strategies.

13.) Because the maximum time limit for meeting a margin deficiency is shorter than in a standard margin account, there is increased risk that a customer's portfolio margin account will be liquidated involuntarily, possibly causing losses to the customer.

14.) Because portfolio margin requirements are determined using sophisticated mathematical calculations and theoretical values that must be calculated from market data, it may be more difficult for customers to predict the size of future margin deficiencies in a portfolio margin account. This is particularly true in the case of customers who do not have access to specialized software necessary to make such calculations or who do not receive theoretical values calculated and distributed by approved vendor of theoretical values.

15.) For the reasons noted above, a customer that carries long eligible positions in a full portfolio margin account could, under certain circumstances, be less likely to recover the full value of these positions in the event of the insolvency of thinkorswim, Inc.

16.) Trading of margin equity securities, warrants on equity securities or on indices of equity securities, listed options, unlisted derivatives, and security futures products in a portfolio margin account is generally subject to all the risks of trading those same products in a standard securities margin account. Customers should be thoroughly familiar with the risk disclosure materials applicable to those products, including the booklets entitled "Characteristics and Risks of Standardized Options". Because this disclosure statement does not disclose the risks and other significant aspects of trading in other security futures products and options, customers should review those materials carefully before trading in a portfolio margin account.

17.) Customers should consult with their tax advisers to be certain that they are familiar with the tax treatment of transactions in margin equity securities, warrants on equity securities or on indices of equity securities, listed options, unlisted derivatives, and securities futures products, including tax consequences of trading strategies involving both security futures and option contracts.

18.) The descriptions in this disclosure statement relating to eligibility requirements for portfolio margin accounts, and minimum equity and margin requirements for those accounts are minimums imposed under the self-regulatory organization rules. Time frames within which margin and equity deficiencies must be met are maximums imposed under the self-regulatory organization rules. Broker-dealers may impose their own more stringent requirements.

19.) Customers should bear in mind that the discrepancies in the cash flow characteristics of security futures and certain options are still present even when those products are carried together in a portfolio margin account. In addition, discrepancies in the cash flow characteristics of



773 435 3210 Voice
773 435 3232 Fax

certain unlisted derivatives may also be present when those products are carried in a portfolio margin account. Both security futures and options contracts are generally marked to the market at least once each business day. Similarly, certain unlisted derivatives may also be marked to the market on a daily basis. However, there may be incongruity between each eligible product in that marks may take place with different frequency and at different times within the day. For example, when a security futures contract is marked to the market, the gain or loss is immediately credited to or debited from, respectively, the customer's account in cash. While a change in the value of a long option contract may increase or decrease the equity in the account, the gain or loss is not realized until the option is liquidated, exercised, or assigned. Accordingly, a customer may be required to deposit cash in the account in order to meet a variation payment on a security futures contract even though the customer is in a hedged position and has experienced a corresponding (but yet unrealized) gain on an option. Alternatively, a customer who is in a hedged position and would otherwise be entitled to receive a variation payment on a security futures contract may find that the cash is required to be held in the account as margin collateral on an offsetting position.

Acknowledgement for Customers Utilizing a Portfolio Margin Account

As discussed in the Portfolio Margining Risk Disclosure Statement, portfolio margining must be conducted in a margin account dedicated exclusively to portfolio margining. Portfolio margin accounts are treated as securities accounts carried with broker-dealers. As such, positions in portfolio margin accounts are covered by Rule 15c3-3 under the Securities Exchange Act of 1934 which protects customer accounts.

Rule 15c3-3 under the Securities Exchange Act of 1934 requires that a broker or dealer promptly obtain and maintain physical possession or control of all fully-paid securities and excess margin securities and maintain a special reserve account for the benefit of their customers. Fully-paid securities are securities carried in a cash account and margin equity securities carried in a margin or special account (other than a cash account) that have been fully paid for. Excess margin securities are a customer's margin securities having a market value in excess of 140% of the total of the debit balances in the customer's non-cash accounts. For the purposes of Rule 15c3-3, securities held subject to a lien to secure obligations of the broker-dealer are not within the broker-dealer's physical possession or control. The Securities and Exchange Commission (SEC) staff has taken the position that all long option positions in a customer's portfolio margining account may be subject to such a lien by the Options Clearing Corporation (OCC) and will not be deemed fully-paid or excess margin securities under Rule 15c3-3.

The hypothecation rules under the Securities Exchange Act of 1934 (Rules 8c-1 and 15c2-1) prohibit broker-dealers from permitting the hypothecation of customer securities in a manner that allows those securities to be subject to any lien or liens in an amount that exceeds the customer's aggregate indebtedness. However, all long option positions in a portfolio margining account will be subject to the OCC's lien, including any positions that exceed the customer the customer's aggregate indebtedness. Furthermore, all long positions, including margin equity securities, in a portfolio margin account are held subject to a lien by thinkorswim, Inc. and its clearing firm Penson Financial Services, Inc., even if fully paid for. The SEC staff has taken a position that would allow customers to carry positions in the portfolio margining accounts even when those positions exceed the customer's aggregate indebtedness. Accordingly, within a portfolio margin account, to the extent that you have long option and/or margin equity securities positions that do

 thinkorswim
600 West Chicago Ave
Chicago, IL 60610

773 436 3210 Voice
773 436 3232 Fax

not operate to offset your aggregate indebtedness and thereby reduce margin requirement, you receive no benefit from carrying those positions in your portfolio margin account and incur the additional risk of the OCC's lien on your long option position(s) and thinkorswim, Inc. and/or our clearing firm Penson Financial Services, Inc. lien on all of your long positions.

The Securities Investor Protection Corporation insures customer accounts against the financial insolvency of a broker-dealer in the amount of up to \$500,000 to protect against the loss of registered securities and cash maintained in the account for purchasing securities or as proceeds from selling securities (although the limit on cash claims is \$100,000). Additionally, our clearing firm holds Excess SIPC Insurance of \$200,000,000 in the aggregate, over all customer accounts, subject to a maximum limit of \$900,000 per Customer in respect to cash. This "Excess SIPC" protection is in addition to the protection provided by the Securities and Investors Protection Act, which is administered by SIPC and is subject to certain conditions and limitations, details of which are available upon request. Note SIPC and Excess SIPC provide coverage against loss of securities and cash, not against market depreciation, fluctuation in market value of your securities or a trading loss.

By signing below you affirm that you have read and understood the *Portfolio Margining Risk Disclosure Statement* provided and Acknowledge and agree that:

THE UNDERSIGNED HAS/HAVE A COMPLETE UNDERSTANDING OF THE GUIDELINES AND POLICIES REGARDING THE EXTENSION OF CREDIT, MARGIN REQUIREMENTS, NET LIQUIDATIONS EQUITY AND THAT THE UNDERSIGNED WAIVE(S) ANY RIGHT TO REDEEM SHARES OF MONEY MARKET MUTUAL FUNDS WITHOUT THE MEMBER ORGANIZATION'S CONSENT AND THAT THE MEMBER ORGANIZATION'S RESERVES THE RIGHT TO REDEEM SHARES OF MONEY MARKET MUTUAL FUNDS IN CASH UPON REQUEST. LONG POSITIONS IN A PORTFOLIO MARGINING ACCOUNT WILL BE EXEMPTED FROM CERTAIN CUSTOMER PROTECTION RULES OF THE SECURITIES AND EXCHANGE COMMISSION AS DESCRIBED ABOVE AND WILL BE SUBJECT TO A LIEN BY THINKORSWIM, INC AND PENSON FINANCIAL SERVICES, INC., AS WELL AS THE OPTIONS CLEARING CORPORATION WITH RESPECT TO LONG OPTION POSITIONS, WITHOUT REGARD TO SUCH RULES.

Customer Account Number:

 3933

Customer Name/Title:

Lavliuskiy Roman

(Printed/Title)

Customer Name:



(Signed)

Joint Account Holder:

(Printed/Title)

Joint Account Holder:

(Signed)

Date:

28.01.2010


CUSTOMER APPROVES
FOR UNCOVERING
OPTIONS PK


EXHIBIT 90

SUMMARY INFORMATION FOR MAKAROV OLEKSANDR

CUSTOMER SUMMARY FOR MAKAROV OLEKSANDR

Title:	Makarov Oleksandr
Applicant ID:	██████████ 207
Type:	INDIVIDUAL
Legal Country:	Ukraine
Mailing Address:	Saksahanskoho 92, 18, Kyiv, , 01032, Ukraine
E-mail Address:	makarov081982@gmail.com
Primary user name:	makarov148
Employer:	Set/Unset Employer
Good-faith Review:	
RELATIONSHIP TO FORMER ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
RELATIONSHIP TO OTHER JURISDICTION THAT MAY POSE INCREASED FRAUD RISK - Applicant (Legal Residence/Mailing Address) Account Holder	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	

ACCOUNTS OF MAKAROV OLEKSANDR

ID	VAN	Type	Activated Capabilities	Status	Priority	Equity yesterday
4548	074548	Active Trader	BOND CFD FOP FUT LEVFX OPT STK MRGN	Open.	LOW	68,581 USD
4548F	74548F	Active Trader	CFD MRGN	Open.	LOW	0 USD

ACCOUNT SUMMARY FOR U1074548

U1074548

ID:	4548 (VAN: 4548)	Equity yesterday:	68,581 USD
Type:	Active Trader	Started:	04 Jun 2012
Activated Capabilities:	BOND CFD FOP FUT LEVFX OPT STK MRGN	Approved:	04 Jul 2012
Status:	Open. Clearing Status	Opened:	04 Jul 2012
Phylum	C - Customer	Funded:	06 Jul 2012
Priority	LOW Change Priority	Closed:	
IB Entity:	IB-UK	Operator:	
Currency:	USD	Estate:	
TMS tickets		Assign to ASG	
TMS sticky notes		Account Diagnostic	

ACCOUNT APPROVAL DECISION

2012-07-04 16:31:39	DATE OPENED	DATE CLOSED
---------------------	-------------	-------------

Decision: Accepted (Initial)

Proclamations:
Deciding User: frailla

Decision: Accepted (Initial)

Proclamations:

Deciding User: ffailla

Deciding Time: 04 Jul 2012 11:09:06 EDT

Initial Capabilities: BOND FUT LEVFX MRGN MULT OPT STK

Additional Remarks:

ACCOUNT CAPABILITIES

Approved Capabilities	[BOND, CFD, FOP, FUT, LEVFX, MRGN, MULT, OPT, STK]
Activated Capabilities	[BOND, CFD, FOP, FUT, LEVFX, OPT, STK, MRGN]

ACCOUNT UPGRADE DECISIONS

All Requested Capabilities: BOND CFD FOP FUT LEVFX MRGN MULT OPT STK

Decision: Accepted

Proclamations:

Deciding Officer: ffailla

Deciding Time: 19 Jul 2012 04:50:19 EDT

Compliance Officer: ffailla

Compliance Time: 19 Jul 2012 04:50:19 EDT

Additional Remarks:

ADDITIONAL APPLICANT/REGISTRATION INFORMATION

APPLICANT INFO

Applicant ID: 1814207	Primary Phone: 380632485464
US Tax ID: N/A	Secondary Telephone: 380503822256
Country of Legal residence: Ukraine	Mailing Address #: Saksahanskoho 92, 18
Applicant Citizenship: Ukraine	Kyiv, N/A 01032
State of Legal residence: N/A	Ukraine
Market Data Status: Non-Professional	Currency/Rate: USD / 1.0
Token Type: Bingo	Marketing/Sales Info:
	Assisted by: self

Change Applicant Information ▶ |

INVESTMENT EXPERIENCE

	Years of Experience	# of Trades per Year	Knowledge Level
Stocks	3	> 100	Extensive
Options	3	> 100	Extensive
Commodities	3	> 100	Extensive
Bond	3	26 - 50	Extensive
FX	3	> 100	Extensive
CFD	N/A	N/A	0

Bond	3	26 - 50	Extensive
FX	3	> 100	Extensive
CFD	N/A	N/A	0

FINANCIAL INFORMATION

Estimated Net Worth	100,001 - 250,000	Estimated Liquid Net Worth	75,001 - 100,000
Net Income	50,001 - 100,000	Sources of Funds (Other than regular income)	Sources of Income
Total Assets	100,001	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES

Growth, Trading, Speculation, Hedging

Edit Objective

DISCIPLINARY EVENTS

QUESTION	ANSWER	NATIVE ANSWER
Has the account holder ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?	No	N/A
Has the account holder ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority?	No	N/A

COMPLIANCE/REGULATORY INFORMATION

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, No
director or owner of a securities or commodities brokerage firm?

Add Broker-Dealer Affiliation ►

MIFID
Retail

QUESTION	ANSWER	NATIVE ANSWER
Is the account holder a member of an exchange or an associated person, affiliated person or employee of an exchange member?	No	N/A
Is the account holder a member of a regulatory or a self-regulatory organization?	No	N/A
Is the account holder: i) a director; ii) a 10% shareholder; or iii) a policy-making officer of any publicly traded company? (Restricted or Controlled Stock)	No	N/A
Is exactly a registered financial advisor?	N/A	N/A
Accepted Futures Arbitration Agreement?	Yes	Yes

Edit Compliance Information ► Change Arbitration Agreement ►

APPLICANT ASSOCIATED ENTITIES

Personal Information for Mr. Makarov Oleksandr (Account Holder)		show ►
Hide all ►		
US-ASCII Fields		
Name: Mr. Makarov Oleksandr	# of Dependents: 1	
Entity ID: [REDACTED] 2247	Marital Status: M	
Citizenship: Ukraine	Mother's Maiden Name:	
DOB: [REDACTED] 1982		
City of Birth: [REDACTED]		

US-ASU11 Fields

Name: Mr. Makarov Oleksandr
Entity ID: [REDACTED] 2247
Ownership percentage: 100.0
Detailed Ownership Information ▶

Citizenship: Ukraine
DOB: [REDACTED] 1982
City of Birth: [REDACTED]
Drivers Lic #: [REDACTED]
Alien ID: [REDACTED] 960 (Ukraine)
National ID: [REDACTED]
has Greencard: false
Email: makarov081982@gmail.com
Home Phone: [REDACTED] 35464
Work Phone: [REDACTED] 2256

Residential Address: Mayakovskoho, 2a, apt. 57
Donetsk, 83055
Ukraine
Mailing Address: Saksahanskoho 92, 18
Kyiv, 01032
Ukraine

Business Nature Extended: Steel sector strategy consulting, domestic and international metals and mining industry analysis
Country Mismatch Description:

Native Language Fields (Language code: en)

Citizenship: Ukraine
DOB: [REDACTED] 1982
City of Birth: [REDACTED]
Drivers Lic #: [REDACTED]
Alien ID: [REDACTED] 960 (Ukraine)
Passport: [REDACTED]
National ID: [REDACTED]
has Greencard: false

Email: makarov081982@gmail.com
Home Phone: [REDACTED] 35464
Work Phone: [REDACTED] 2256

Residential Address: Mayakovskoho, 2a, apt. 57
Donetsk, 83055
Ukraine
Mailing Address: Saksahanskoho 92, 18
Kyiv, 01032

Business Nature Extended: Steel sector strategy consulting, domestic and international metals and mining industry analysis
Country Mismatch Description:

of Dependents: 1
Marital Status: M
Mother's Maiden Name:
Gender: Male
Occupation: selfemployer
Employment Status: SELFEMPLOYED
Employer: selfemployer
Employer Business: Consultant (Not Financial Related)
Employer Address: Saksahanskoho, 92, 18
Kyiv, 01032
Ukraine

of Dependents: 1
Marital Status: M
Mother's Maiden Name:
Gender: Male
Occupation: selfemployer
Employment Status: SELFEMPLOYED
Employer: selfemployer
Employer Business: Consultant (Not Financial Related)
Employer Address: Saksahanskoho, 92, 18
Kyiv, 01032
Ukraine

Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Request Proof of SSN ▶ Mark as PEP ▶ Ownership History ▶

Sessions:

User: [REDACTED] 0148 ([REDACTED] 4548F)	Active in TWS: Yes	Role: OWNER	Token Type: Bingo	Entity Remap function moved to User Mgmt page
User: [REDACTED] 32 ([REDACTED] 38283)	Active in TWS: No	Role: OWNER	Token Type: N/A	Entity Remap function moved to User Mgmt page

Customer Account Supervision System - Interactive Brokers

EXHIBIT 91

OFFERING MEMORANDUM

The Directors, whose names appear in this document, accept responsibility for the information contained in this document. To the best of the knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case) the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors accept responsibility accordingly.

CASCADE INVESTMENT FUND

(a company incorporated with limited liability under the
laws of the Cayman Islands under registration number CT-276367)

NOVEMBER 2013

DIRECTORY

CASCADE INVESTMENT FUND

Registered Office

Codan Trust Company (Cayman) Limited
Cricket Square, Hutchins Drive
PO Box 2681
Grand Cayman KY1-1111
Cayman Islands

Directors

Anatoliy Shakhnovskyy
Oleksandr Makarov

Investment Manager

Cascade Asset Management
c/o Codan Trust Company (Cayman) Limited
Cricket Square, Hutchins Drive
PO Box 2681
Grand Cayman KY1-1111
Cayman Islands

Administrator

Trinity Fund Administration Limited
Oyster Point, Temple Road, Blackrock, Co.
Dublin, Ireland

Custodian

Credit Suisse AG
Paradeplatz 8
8001 Zurich
Switzerland

Auditors to the Fund

Deloitte Limited
Maximos Plaza, Tower 1, 3rd Floor, 213 Arch.
Makariou III Avenue, CY-3030 Limassol,
Cyprus

Legal Advisers to the Fund

Conyers Dill & Pearman (Cayman) Limited
Cricket Square, Hutchins Drive
PO Box 2681
Grand Cayman KY1-1111
Cayman Islands

DIRECTORS

Directors' Functions

The Directors are responsible for the overall management and control of the Fund in accordance with the Articles. The Directors will review the operations of the Fund at regular meetings. For this purpose, the Directors will receive periodic reports from the Investment Manager detailing the performance of the Fund and providing an analysis of its investment portfolio. The Investment Manager will provide such other information as may from time to time be reasonably required by the Directors for the purpose of such meetings.

Directors of the Fund

The Directors of the Fund are Anatoliy Shakhnovskyy and Oleksandr Makarov.

Anatoliy Shakhnovskyy

Mr. Anatoliy Shakhnovskyy received asset management certificate in 2008 from the State Commission for Regulation of Financial Services Markets of Ukraine. His experience in the field of asset management is greater than 5 years. Anatoliy served as director of the Asset Management Company Brokbusinessinvest and he is Chairman of the Supervisory Board of Nondiversified Corporate Investment Fund Cascade-Invest.

Oleksandr Makarov

Mr. Oleksandr Makarov has extensive experience in equity research in Dragon Capital – leading investment bank in Ukraine, established in 2000 and controlled by management, with a minority stake held by Goldman Sachs. He covered metals and mining sector, providing fundamental research for both domestic and international institutional clients. Prior to Dragon Capital Alexander was research analyst with focus on metals and mining in investment company Phoenix Capital. He has 10 years experience in equity research.

For the purposes of this Offering Memorandum, the address of each of the Directors is the registered office of the Fund.

EXHIBIT 92

SUMMARY INFORMATION FOR CONCORDE (BERMUDA) LIMITED

CUSTOMER SUMMARY FOR CONCORDE (BERMUDA) LIMITED

Title:	Concorde (Bermuda) Limited
Applicant ID:	1832445
Type:	ORG - Separate Trading Limit (Master)
Legal Country:	Bermuda
Mailing Address:	2 Mechnykova Str., Kyiv, 01601, Ukraine
E-mail Address:	jb@concorde.com.ua
Primary user name:	concor216
Employer:	Set/Unset Employer
Good-faith Review:	
RELATIONSHIP TO FORMER ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) ORG (Principal Place of Business) Employee TRADER OWNER	
RELATIONSHIP TO OTHER JURISDICTION THAT MAY POSE INCREASED FRAUD RISK - Applicant (Legal Residence/Mailing Address) ORG (Principal Place of Business) Employee TRADER OWNER	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) ORG (Principal Place of Business) Employee TRADER OWNER	

ACCOUNTS OF CONCORDE (BERMUDA) LIMITED

ID	VAN	Type	Activated Capabilities	Status	Priority	Equity yesterday
4237	4237	Separate Trading Limit	CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Open.	NORMAL+	-285,151 USD
4237F	4237F	Separate Trading Limit	CFD CMDTY FOP FUT MRGN	Open.	NORMAL+	0 USD
5253	5253	Separate Trading Limit	FOP FUT LEVFX OPT STK MRGN PMRGN	Closed	NORMAL+	0 USD
7960	7960	Separate Trading Limit	CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Open.	NORMAL+	1,492,387 USD
7960F	7960F	Separate Trading Limit	CFD CMDTY FOP FUT MRGN	Open.	NORMAL+	0 USD
1358	1358	Separate Trading Limit	CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Open.	NORMAL+	247,423 USD
1358F	1358F	Separate Trading Limit	CFD CMDTY FOP FUT MRGN	Open.	NORMAL+	0 USD
2720	2720	Separate Trading Limit	CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Open.	NORMAL+	296,788 USD
2720F	2720F	Separate Trading Limit	CFD CMDTY FOP FUT MRGN	Open.	NORMAL+	0 USD
3163	3163	Separate Trading Limit	CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Open.	NORMAL+	0 USD
3163F	3163F	Separate Trading Limit	CFD CMDTY FOP FUT MRGN	Open.	NORMAL+	0 USD

ACCOUNT SUMMARY FOR U1094237

U1094237

ID:	4237 (VAN: 4237)	Equity yesterday:	-285,151 USD
Type:	Separate Trading Limit (Master)	Started:	27 Jul 2012

U1094237

ID:	237 (VAN: 94237)	Equity Yesterday:	-285,151 USD
Type:	Separate Trading Limit (Master)	Started:	27 Jul 2012
Activated Capabilities:	CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Approved:	11 Sep 2012
Status:	Open. Clearing Status	Opened:	11 Sep 2012
Phylum	C - Customer	Funded:	19 Sep 2012
Priority	NORMAL + Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	
ECA status:	Disabled		

Account Diagnostic

TMS sticky notes

TMS tickets

Assign to ASG

ACCOUNT APPROVAL DECISION

DATE OPENED

2012-09-11 16:30:39

DATE CLOSED

Decision: Accepted (Initial)

Proclamations: Based on the fact that this customer, an organization, has satisfied Interactive Brokers' threshold financial criteria to trade security futures, and based on IB's verification of relevant account information under IB's written account approval and anti-money laundering procedures, and based on my review of all information provided by the customer, including but not limited to the customer's investment objectives, annual income, net worth, liquid net worth and investment experience of its authorized officers, partners and/or traders, I approve this account for trading security futures.

Deciding User: dbridges

Deciding Time: 11 Sep 2012 13:44:26 EDT

Initial Capabilities: CFD FOP FUT LEVFX MRGN MULT OPT SSF STK

Additional Remarks: Pool review ok - Foreign Ownership-In

ACCOUNT CAPABILITIES

Approved Capabilities	ICFD, CMDTY, FOP, FUT, LEVFX, MRGN, MULT, OPT, PMRGN, SSF, STK
Activated Capabilities	ICFD, FOP, FUT, LEVFX, OPT, SSF, STK, MRGN, PMRGN

ACCOUNT UPGRADE DECISIONS

All Requested Capabilities: CFD CMDTY FOP FUT LEVFX MRGN MULT OPT PMRGN SSF STK

Decision: Accepted

Proclamations: Based on the fact that this customer, an organization, has satisfied Interactive Brokers' threshold financial criteria to trade security futures, and based on IB's verification of relevant account information under IB's written account approval and anti-money laundering procedures, and based on my review of all information provided by the customer, including but not limited to the customer's investment objectives, annual income, net worth, liquid net worth and investment experience of its authorized officers, partners and/or traders, I approve this account for trading security futures.

Deciding Officer: dbridges

Deciding Time: 16 Oct 2012 17:21:29 EDT

Compliance Officer: dbridges

Compliance Time: 16 Oct 2012 17:21:29 EDT

Additional Remarks:

SEC-IB-E-0207665

Additional Remarks:

All Requested Capabilities: CFD FOP FUT LEVFX MRGN MULT OPT PMRGN SSF STK

Decision: Accepted

Proclamations: Based on the fact that this customer, an organization, has satisfied Interactive Brokers' threshold financial criteria to trade security futures, and based on IB's verification of relevant account information under IB's written account approval and anti-money laundering procedures, and based on my review of all information provided by the customer, including but not limited to the customer's investment objectives, annual income, net worth, liquid net worth and investment experience of its authorized officers, partners and/or traders, I approve this account for trading security futures.

I have reviewed and approved this account for portfolio margin, based on my review of all financial and investment information provided by the customer, and based on the fact that the account is eligible for uncovered option trading and has acknowledged receipt of and agreement with the Portfolio Margin Risk Disclosure.

Deciding Officer: smitman

Deciding Time: 03 Oct 2012 11:54:14 EDT

Compliance Officer: dbridges

Compliance Time: 03 Oct 2012 09:53:30 EDT

Risk Officer: smitman

Risk Time: 03 Oct 2012 11:54:14 EDT

Additional Remarks:

ADDITIONAL APPLICANT/REGISTRATION INFORMATION

APPLICANT INFO

Applicant ID: 1832445

US Tax ID: N/A

Country of Legal residence: Bermuda

Applicant Citizenship: Bermuda

State of Legal residence: N/A

Market Data Status: Professional

Token Type: Gold

Primary Phone: +380443915577

Secondary Telephone: +380443915573

FAX #: +380443915571

Mailing Address #: 2 Mechnykova Str.

Kyiv, N/A 01601

Ukraine

Currency/Rate: USD / 1.0

Marketing/Sales Info:

Assisted by: sales_rep

Helpers name: Anna Kossov

Solicited by: Anna Kossov

Use Trader IDs: Disabled

Change Applicant Information > |

INVESTMENT EXPERIENCE

	Years of Experience	# of Trades per Year	Knowledge Level
Stocks	6 - 10	> 100	Extensive
Options	6 - 10	26 - 50	Good
Commodities	6 - 10	> 100	Good
Bond	0	0	0
FX	6 - 10	> 100	Extensive
CFD	N/A	N/A	0

FINANCIAL INFORMATION

FX 6 - 10 Extensive
CFD N/A 0

FINANCIAL INFORMATION

Estimated Net Worth	10,000,001 - 25,000,000	Estimated Liquid Net Worth	250,001 - 500,000
Net Income	> 1,000,001	Sources of Funds (Other than regular income)	N/A
Total Assets	25,000,001	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES

Growth, Trading, Speculation

Edit Objective

DISCIPLINARY EVENTS

QUESTION	ANSWER	NATIVE ANSWER
Has the organization or any of its officers or authorized traders ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?	No	N/A
Has the organization or any of its officers or authorized traders ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority?	No	N/A
Have any of the principals, officers or authorized traders of the Organization ever been arrested for, or convicted of, a crime?	No	N/A

COMPLIANCE/REGULATORY INFORMATION

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, No
director or owner of a securities or commodities brokerage firm?

Add Broker-Dealer Affiliation*

QUESTION	ANSWER	NATIVE ANSWER
Is the Organization engaged in the brokerage business ? (e.g., is the Organization registered, authorized or operating as a futures commission merchant, or securities or futures brokerage firm in the US, UK, Canada or elsewhere) If Yes, provide details	No	N/A
Is the organization required to be registered in the US, pursuant to NFA By-Law 1101, with the Commodities Futures Trading Commission, or in Canada with the IDA, or in any other country, as a futures commission merchant, introducing broker, commodity pool operator, commodity trading advisor, retail foreign exchange dealer or leverage transaction merchant?	No	N/A
* NFA By-Law 1101 Statement: This is a proprietary account for an operating business (exempt from registration and no need to apply for exemption under NFA Guidance)		
Is the organization a member of an exchange or an associated person, affiliated person or employee of an exchange member?	No	N/A
Is the account holder a member of a regulatory or a self-regulatory organization ?	No	N/A
Is the account holder or any of its officers: i) a director , ii) a 10% shareholder, or iii) a policy-making officer of any publicly traded company ? If Yes, enter stock symbols of companies:	No	No
Does the organization control the trading in, or have an ownership interest in, any other Interactive Brokers accounts ?	No	No
Is the organization operating under a banking license issued by an OFAC or ML Risk Country?	no	no
Is the organization operating under an offshore banking license ?	N/A	N/A
Is the organization the branch of a foreign bank found or chartered in one of the listed countries?	no	no
Accepted Futures Arbitration Agreement ?	Yes	Yes

Is the organization operating under an offshore banking license?

N/A

N/A

Is the organization the branch of a foreign bank found or chartered in one of the listed countries?

no

no

Accepted Futures Arbitration Agreement?

Yes

Yes

Edit Compliance Information > Change Arbitration Agreement >

APPLICANT ASSOCIATED ENTITIES

Personal Information for Mrs. Irene Pipera (O-SIGNATORY, TRADER, Other Officer, CEO)		hide >
US-ASCII Fields		
Name: Mrs. Irene Pipera Entity ID: 15184 Opened Account ? Yes	Citizenship: Cyprus DOB: 1989 City of Birth: Drivers Lic #: Alien ID: Passport: 308(Cyprus) National ID: has Greencard: false	Email: jb@concorde.com.ua Home Phone: + 380443915577 Work Phone: + 380443915573 Fax: + 380443915571 Residential Address: 6 Dafnis street, Lythrodontas Nicosia, 2565 Cyprus Mailing Address: Same as residential address Occupation:
Native Language Fields (Language code: en)		
Name: Irene Pipera Entity ID: 15184 Opened Account ? Yes	Citizenship: Cyprus DOB: 1989 City of Birth: Drivers Lic #: Alien ID: Passport: 308(Cyprus) National ID: has Greencard: false	Email: jb@concorde.com.ua Home Phone: + 380443915577 Work Phone: + 380443915573 Fax: + 380443915571 Residential Address: 6 Dafnis street, Lythrodontas Nicosia, 2565 Cyprus Mailing Address: Same as residential address Occupation:
Change Info >	Request Proof of ID and DOB >	Request Proof of Address > Mark as PEP > Ownership History >
Sessions:		
User: 15184 (15184)	Active in TWS: Yes	Role: OWNER Token Type: Gold
User: 15184 (15184)	Active in TWS: No	Role: OWNER Token Type: N/A
Entity Remap function moved to User Mgmt page		
Entity Remap function moved to User Mgmt page		
Associated Legal Entity Information for Concorde Solutions Ltd. (OWNER)		
US-ASCII Fields		
Name: Concorde Solutions Ltd. Entity ID: 14915422 Type: PRIVATE	Country of Organization: British Virgin Islands Tax ID: 1451478 (British Virgin Islands)	Address: BC Parus 2 Mechnykova Str. Kyiv, 01601 Ukraine
Ownership percentage: 100.0		
Change Info >	Request Proof of ID and DOB >	Request Proof of Address > Mark as PEP > Ownership History >
Personal Information for Kostiantyn Fisun (TRADER)		
US-ASCII Fields		
Name: Kostiantyn Fisun Entity ID: 150410	Citizenship: Ukraine DOB: 1969 City of Birth:	Email: kf@concorde.com.ua Home Phone: + 380443915577 Fax: + 380443915571

Personal Information for Kostiantyn Fisun (TRADER)				hide
<p>Name:Kostiantyn Fisun Entity ID: [REDACTED]410</p> <p>US-ASCII Fields</p> <p>Citizenship: Ukraine DOB: [REDACTED] 1969 City of Birth: [REDACTED] Drivers Lic #: [REDACTED]5(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false</p> <p>Email: kf@concorde.com.ua Home Phone: + [REDACTED] 5577 Fax: +380443915571</p> <p>Residential Address: 2, Tarasivska, apt.53 Kiev,01063 Ukraine Mailing Address:</p> <p>Occupation:</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Ukraine DOB: [REDACTED] 1969 City of Birth: [REDACTED] Drivers Lic #: [REDACTED]55(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false</p> <p>Email: kf@concorde.com.ua Home Phone: + [REDACTED] 915577 Fax: +380443915571</p> <p>Residential Address: 2, Tarasivska, apt.53 Kiev,01063 Ukraine Mailing Address:</p> <p>Occupation:</p> <p>Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Mark as PEP ▶ Ownership History ▶</p> <p>Sessions:</p> <p>User: [REDACTED]123 (U [REDACTED]253) Active in TWS:No Role: TRADER Token Type: N/A Entity Remap function moved to User Mgmt page</p>				
Personal Information for Kostiantyn Fisun (Employee)				
<p>Name:Kostiantyn Fisun Entity ID: [REDACTED]9319</p> <p>US-ASCII Fields</p> <p>Citizenship: Ukraine DOB: [REDACTED] 1969 City of Birth: [REDACTED] Drivers Lic #: [REDACTED]5(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false</p> <p>Email: kf@concorde.com.ua Home Phone: + [REDACTED] 915577</p> <p>Residential Address: 2 Tarasovska, apt 53 Kiev,Ukraine,01062 Ukraine Mailing Address: Same as residential address Occupation:</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Ukraine DOB: [REDACTED] 1969 City of Birth: [REDACTED] Drivers Lic #: [REDACTED]5(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false</p> <p>Email: kf@concorde.com.ua Home Phone: + [REDACTED] 915577</p> <p>Residential Address: 2 Tarasovska, apt 53 Kiev,Ukraine,01062 Ukraine Mailing Address: Same as residential address Occupation:</p> <p>Change Info ▶ Mark as PEP ▶ Ownership History ▶</p> <p>Sessions:</p> <p>User: [REDACTED]159 (U [REDACTED]4237) Active in TWS:Yes Role: CUSTOM Token Type: N/A Entity Remap function moved to User Mgmt page</p>				
Personal Information for Maksym Siobodskiy (Employee)				

SEC-IB-E-0207670

Sessions:

User: [REDACTED] 216 (11/17/960)

Active In TWS: Yes

Role: TRADER

Token Type: None

Entity Remap
function
moved to User
Mgmt page

Personal Information for Petro Vinnikov (Employee)

hide ▶

US-ASCII Fields

Name: Petro Vinnikov
Entity ID: [REDACTED] 663Citizenship: Ukraine
DOB: [REDACTED] 983

City of Birth: [REDACTED]

Drivers Lic #: [REDACTED] 788(Ukraine)

Alien ID:

Passport:

National ID:

has Greencard: false

Native Language Fields (Language code: en)

Name: Petro Vinnikov
Entity ID: [REDACTED] 663Citizenship: Ukraine
DOB: [REDACTED] 983

City of Birth: [REDACTED]

Drivers Lic #: [REDACTED] 788(Ukraine)

Alien ID:

Passport:

National ID:

has Greencard: false

Change Info ▶ Mark as PEP ▶ Ownership History ▶

Sessions:

User: [REDACTED] 216 (11/17/237)

Active In TWS: No

TWS Privilege: No

Role: CUSTOM

Token Type: N/A

Entity Remap
function
moved to User
Mgmt page

Personal Information for Maksym Slobodskiy (TRADER)

hide ▶

US-ASCII Fields

Name: Maksym Slobodskiy
Entity ID: [REDACTED] 549Citizenship: Ukraine
DOB: [REDACTED] 987

City of Birth: [REDACTED]

Drivers Lic #: [REDACTED]

Alien ID:

Passport: [REDACTED] 28(Ukraine)

National ID:

has Greencard: false

Email: sm@concorde.com.ua
Home Phone: + [REDACTED] 15573Residential Address: O. Schmidta 31., apt. 37
Kyiv, 01014
Ukraine

Mailing Address:

Occupation:

Native Language Fields (Language code: en)

Name: Maksym Slobodskiy
Entity ID: [REDACTED] 549Citizenship: Ukraine
DOB: [REDACTED] 987

City of Birth: [REDACTED]

Drivers Lic #: [REDACTED]

Alien ID:

Passport: [REDACTED] 28(Ukraine)

National ID:

has Greencard: false

Email: sm@concorde.com.ua
Home Phone: + [REDACTED] 15573Residential Address: O. Schmidta 31., apt. 37
Kyiv, 01014
Ukraine

Mailing Address:

Occupation:

Change Info ▶ Request Proof of ID and DOB ▶

Request Proof of Address ▶

Mark as PEP ▶

Ownership History ▶

Sessions:

Change Info >	Request Proof of ID and DOB >	Request Proof of Address >	Mark as PEP >	Ownership History >
Sessions: <div> <div>User: [REDACTED] 216 (U [REDACTED] 358F)</div> <div>Active in TWS: Yes</div> <div>Role: TRADER</div> <div>Token Type: N/A</div> <div>Entity Remap function moved to User Mgmt page</div> </div>				
Personal Information for Mr. Maksym Slobodskiy (TRADER)				
Name: Mr. Maksym Slobodskiy Entity ID: [REDACTED] 482				
US-ASCII Fields				
Citizenship: Ukraine DOB: [REDACTED] 1987 City of Birth: [REDACTED] Drivers Lic #: KIA 311789(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false				
Native Language Fields (Language code: en)				
Citizenship: Ukraine DOB: [REDACTED] 1987 City of Birth: [REDACTED] Drivers Lic #: KIA 311789(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false				
Occupation:				
Email: ylovstenko@concorde.com.ua Home Phone: [REDACTED] Residential Address: O. Shmidta 31., apt. 37 Kiev, 01014 Ukraine Mailing Address: O. Shmidta 31., apt. 37 Kyiv, 01014 Ukraine				
Occupation:				
Change Info >				
Request Proof of ID and DOB >				
Request Proof of Address >				
Mark as PEP >				
Ownership History >				
Sessions: <div> <div>User: [REDACTED] 216 (U [REDACTED] 720)</div> <div>Active in TWS: Yes</div> <div>Role: TRADER</div> <div>Token Type: N/A</div> <div>Entity Remap function moved to User Mgmt page</div> </div>				
Personal Information for Alisa Tykhomirova (Employee)				
Name: Alisa Tykhomirova Entity ID: [REDACTED] 4273				
US-ASCII Fields				
City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false				
Occupation:				
Email: at@concorde.com.ua Residential Address: [REDACTED] Mailing Address: [REDACTED]				
Occupation:				
Change Info >				
Mark as PEP >				
Ownership History >				
Sessions: <div> <div>User: [REDACTED] 17 (U [REDACTED] 237)</div> <div>Active in TWS: No</div> <div>Role: NONE</div> <div>Token Type: N/A</div> <div>Entity Remap function moved to User Mgmt page</div> </div>				
Personal Information for Alisa Tykhomirova (TRADER)				

User: [REDACTED] 7 ([REDACTED] 4237) Active in TWS: No Role: NONE Token Type: N/A Entity Remap function moved to User Mgmt page	
Personal Information for Alisa Tykhomirova (TRADER) hide	
US-ASCII Fields	
Name: Alisa Tykhomirova Entity ID: [REDACTED] 727	Citizenship: Ukraine DOB: [REDACTED] 1983 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] 306(Ukraine) Alien ID: [REDACTED] Passport: [REDACTED] National ID: [REDACTED] has Greencard: false
Email: at@concorde.com.ua Home Phone: + [REDACTED] 377 Work Phone: + [REDACTED] 31 Fax: +380443915571	Residential Address: Rusanovskaya nab. 6, apt. 166 Kiev, 02154 Ukraine Mailing Address:
Occupation:	Ownership History
Change Info Request Proof of ID and DOB Request Proof of Address Mark as PEP	
Sessions:	
User: [REDACTED] 1216 ([REDACTED] 3163F) Active in TWS: Yes	Role: TRADER Token Type: N/A Entity Remap function moved to User Mgmt page

Customer Account Supervision System - Interactive Brokers

EXHIBIT 93

FORM NO. 6

Registration No. 41722



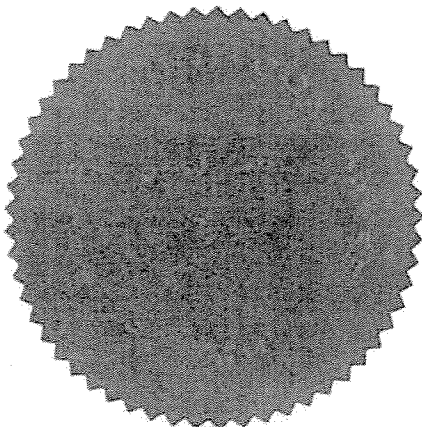
BERMUDA

CERTIFICATE OF INCORPORATION

I hereby in accordance with section 14 of *the Companies Act 1981* issue this Certificate of Incorporation and do certify that on the **8th** day of **April, 2008**

Concorde (Bermuda) Limited

was registered by me in the Register maintained by me under the provisions of the said section and that the status of the said company is that of an **exempted** company.



Given under my hand and the Seal of
the REGISTRAR OF COMPANIES
this **11th** day of **April, 2008**


for Registrar of Companies

SEC-IB-E-0242142

EXHIBIT 94

COMPANIES ACT, 1995

MALTA

CERTIFICATE OF REGISTRATION LIMITED LIABILITY COMPANY

(PURSUANT TO SECTION 77)

EXANTE Ltd

Name of Company

Portomaso Tower Annex Level 7, Vjal Portomaso, St Julians STJ 4011, Malta

Registered Office

182

Registration Number

This is to certify that the above-mentioned Company
has been registered by the Registrar of Companies as a
Limited Liability Company on the

4th March, 2011

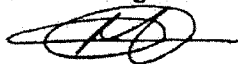
Date of Registration



J. FARRUGIA

/Registrar of Companies

This is a true and authentic photostat
copy of the original



Dr. Maria Grach LL.B., LL.D.

Dated this 4th day of March 11 20

E X A N T E

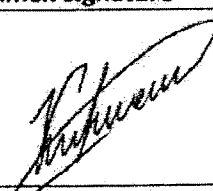
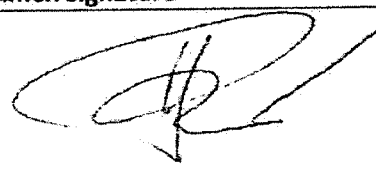
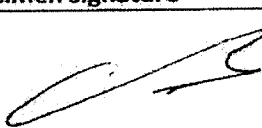
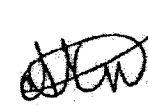
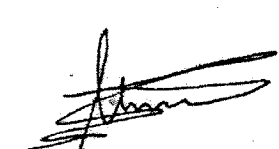


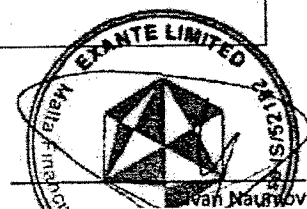
EXANTE Ltd.
Portomaso Business Tower, Level 7,
PTM01, St. Julians, Malta
Tel. +356 20150000
www.exante.eu

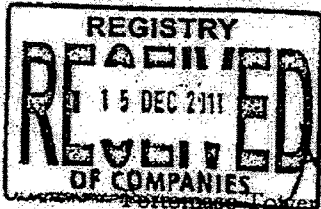
Register of the Authorised Officers of EXANTE Limited

The undersigned, being the Secretary of EXANTE Limited (the "Company") hereby certify that the below is a list of the Authorised Officers of the Company together with their respective specimen signatures.

Furthermore, I can confirm that the below listed individuals are currently registered as the Directors of EXANTE Limited with the Registry of Companies at Malta Financial Services Authority. All the bellow signatories have general rights to act on behalf of EXANTE Limited solely.

1. Authorised signatory	Specimen signature
Last name, First name: KIRIENKO ALEXEY	
Country of Residence: MALTA	
Date of Birth: [REDACTED] 1984	
Nationality: RUSSIAN	
Passport No: 64 No [REDACTED] 2465	
2. Authorised signatory	Specimen signature
Last name, First name: NAUMOV IVAN	
Country of Residence: MALTA	
Date of Birth: [REDACTED] 1983	
Nationality: LITHUANIAN	
Passport No: [REDACTED] 8661	
3. Authorised signatory	Specimen signature
Last name, First name: EGLITIS GATIS	
Country of Residence: MALTA	
Date of Birth: [REDACTED] 1981,	
Nationality: LATVIAN	
Passport No: [REDACTED] 4924	
4. Authorised signatory	Specimen signature
Last name, First name: KNYAZEV ANATOLI	
Country of Residence: RUSSIA	
Date of Birth: [REDACTED] 1984	
Nationality: RUSSIAN	
Passport No: 63 No [REDACTED] 0887	
5. Authorised signatory	Specimen signature
Last name, First name: MASLIAKOV VLADIMIR	
Country of Residence: RUSSIA	
Date of Birth: [REDACTED] 1984	
Nationality: RUSSIAN	
Passport No: [REDACTED] 5609	





C52182/8

EXANTE LTD

23 DEC 2011

Annex Level 7, Vjal Portomaso, St. Julians STJ4011, Malta
Company Registration No. C 52182

RD

1271518 € 1000

EXTRA-ORDINARY RESOLUTION OF ALL THE SHAREHOLDERS OF THE
COMPANY PURSUANT TO ARTICLE 210 COMPANIES ACT AT A MEETING
HELD AT THE
COMPANY'S REGISTERED OFFICE
ON THE 28th DAY OF NOVEMBER 2011

It is hereby Resolved that:

1. The authorized and issued share capital of the Company shall be increased by €12,371,966 (twelve million, three hundred and seventy-one thousand, nine hundred and sixty-six Euro);
2. The new authorized and issued share capital of the Company shall be of €12,628,456 (twelve million, six hundred and twenty-eight thousand, four hundred and fifty-six Euro) divided into 12,628,456 (twelve million, six hundred and twenty-eight thousand, four hundred and fifty-six) Ordinary shares of € 1.00 (one Euro) each;
3. 12,371,966 Ordinary shares shall be issued in the name of Lartemisis Holdings Ltd, having Company Registration No. C 52181, and having its registered office at Portomaso Tower Annex Level 7, Vjal Portomaso, St. Julians STJ4011, Malta, in consideration for intangible property;
4. The Memorandum and Articles of Association of the Company shall be replaced in their entirety by the attached Memorandum and Articles of Association.

There being no further matters to discuss, the meeting was closed.

Mr. Alexey Kirienko
Obo Lartemisis Holdings Ltd
Director

Mr. Ivan Naumov
Obo Lartemisis Holdings Ltd
Director

Alexey Kirienko
obo Exante Limited

This is a true and authentic photostat
copy of the original

Dr. Maria Grech LL.B., LL.D.



Memorandum and Articles of Association of

EXANTE LTD

C 52182

A limited liability company

registered at the Registry of Companies of the Republic of Malta
under the Companies Act, 1995.

This is a true and authentic photostat
copy of the original


Dr. Maria Grech LL.B., LL.D.

EXANTE Limited

A limited liability company registered
under the *Malta Companies Act 1995*

MEMORANDUM OF ASSOCIATION

EXANTE LTD

1. Name

The name of the company is EXANTE LTD.

2. Registered Office

The registered office of the Company shall be situated at Portomaso Tower Annex Level 7, Vjal Portomaso, St. Julians STJ4011, Malta, or at any other address in Malta which may be determined from time to time by the Board of Directors.

3. Private Company

The Company shall be a private company in terms of section 209 of the Companies Act, 1995.

4. Objects

The objects of the Company shall be the following:

1. To provide any investment service under the Investment Services Act, 1994 and in particular to receive and transmit client orders, execute client orders, manage investments on behalf of clients and give investment advice.
2. To carry on any other business whatsoever this can be carried on in connection with the main business of the Company.

Nothing in the foregoing shall be construed as empowering or enabling the Company to carry out any activity or service which requires a license or is otherwise regulated under the Investment Services Act, (Cap.370), the Insurance Business Act, (CAP.403), the Insurance intermediaries Act, (CAP.487), the Banking Act, (CAP.371), the Financial Institutions Act, (CAP.376), and the Trusts and Trustees Act, (CAP.331), without a license or other necessary authorizations from the respective competent authority.

5. Powers

In attaining its objects, the company shall have the following powers:

1. To take property on lease, to transfer any such property or right of lease and to grant rights over property acquired by the Company;
2. To acquire, sell, rent, lease and sub-lease, licence and sub-licence in all parts of the world, all types of industrial, artistic and similar rights, including but not limited to copyrights, patents, design, trademarks and other similar or analogous rights and assets.
3. To license intellectual property of all kinds to enable the use of Malta as a base for international business activities;
4. To work, improve, manage, develop, exchange, hypothecate, charge, pledge, sell, dispose of, turn into account, grant options, rights and privileges over or otherwise deal with any assets of the Company;

EXANTE Limited

5. To employ, engage, appoint and dismiss employees, agents, contractors, and sub-contractors engaged for the purposes of the Company's operations.
6. To invest the Company's financial and other resources in such manner as the Company may determine from time to time;
7. To borrow and raise money in such manner as the Company shall deem fit including by the issue of bonds, debentures and debenture stock, and to secure the repayment of any money borrowed or raised or the performance of any obligation undertaken by the Company, by granting hypothecs, privileges, guarantees, pledges and other similar charges over its assets, present and future, including its uncalled capital;
8. To stand surety in favour of third parties and for the purpose to charge its assets as security for the obligations undertaken by such third parties;
9. To enter into any arrangements with Governmental authorities and agencies, companies and persons, and to obtain rights, contracts, options, licences and permits from the said authorities, agencies, companies and persons;

6. Limited Liability

The members' liability is limited to the amount, if any, unpaid on the share(s) respectively held by each member.

7. Capital

The Authorized and Issued Share Capital of the Company shall be of €12,628,456 (twelve million six hundred and twenty-eight thousand, four hundred and fifty-six Euros), divided into 12,628,456 (twelve million six hundred and twenty-eight thousand, four hundred and fifty-six) Ordinary shares of one € 1 (one Euro) each, fully paid up by the below-mentioned subscribers.

8. Subscribers

The Company's share capital is subscribed to as follows:

Lartemisis Holdings Ltd.
Portomaso Tower Annex Level 7,
Vjal Portomaso,
St. Julians STJ4011,
Malta.
(Company Registration No. [REDACTED] 2181)

12,628,455 shares
(no. 1 to 12,628,455)

Alexey Kirienko
Partizanskaya Street,
13-2-19,
Moscow 121351
Russian Federation.
(Russian Passport No. [REDACTED] 0387)

1 share
(no. 12,628,456)

9. Rights of Share Classes

1. The shares in the original or any increased capital may be divided into several classes as the company may from time to time by Extraordinary Resolution determine.

EXANTE Limited

10. Management

1. The management and administration of the Company's affairs shall be entrusted to a Board of Directors consisting of not less than 2 (two) and not more than 5 (five) directors.

2. The first Director(s) of the Company shall be:

Ivan Naumov,
Marii Ul'yanovoy Street,
9-1-8
Moscow, 119331,
Russia
Passport No. [REDACTED] 3661

Alexey Kirienko,
Partizanskaya Street,
13-2-19,
Moscow,
Russia, 121351
Passport No. [REDACTED] 0387

Anatoli Knyazev,
Vokzal'naya Street,
3-37,
Odintsovo,
Moscow,
Russia 143005
Passport No. [REDACTED] 0887

Vladimir Masliakov,
Vavilova Street,
58-2-108,
Moscow,
Russia,
119296,
Passport No. [REDACTED] 5609

Gatis Eglitis,
Sommerstedgade 14,
1 TV, DK-1718,
KBH-V,
Denmark,
Passport No. [REDACTED] 4924

3. The Directors mentioned in the Memorandum and other Directors who may from time to time be elected or appointed under the preceding paragraph shall be so elected or appointed until such time as they may resign or be removed from office by the shareholders in General Meeting.
4. A Director is empowered to appoint another person in his stead as an alternate director by means of a written instrument and such person so appointed shall enjoy all the powers and rights of the said Director including the right to attend and vote at meetings of the Board of Directors. Such alternate Director shall have a vote or votes in addition to his own vote, if any. Written instrument includes telefax or email communication.

EXANTE Limited

11. Representation

1. The legal and judicial representation of the Company shall be vested in any Director. Any Director shall be empowered to enter into any agreement, contract or obligation whether by public deed or by private writing including those creating real security over the assets of the Company such as a hypothec or a mortgage, to open bank accounts and to sign cheques, bills of exchange, promissory notes and other documentary credits on behalf of the Company.
2. Provided that in addition and without prejudice to the aforesaid, the Board of Directors of the Company may from time to time appoint any person or persons to represent the Company in a specific case or cases and to bind the Company as so specifically authorised in a Power of Attorney issued to such person or persons. Any Power of Attorney issued by the Company shall be executed by any Director or any person authorised by the Board of Directors for the purpose and such Power of Attorney shall be considered as executed by the Company.

12. Company Secretaries

The Secretary of the Company shall be:

Ivan Naumov
Marii Ul'yanovoy Street,
9-1-8
Moscow, 119331,
Russia
(Lithuanian Passport No. [REDACTED] 3661)

The undersigned persons hereby agree to constitute this Company in terms of the Companies Act 1995 and in pursuance of this Memorandum and Articles of Association, and to subscribe to the number of shares in the capital of the Company as indicated above.



Mr. Alexey Kirienko
Passport No. [REDACTED] 0387
for Lartemisis Holdings Ltd.



Mr. Ivan Naumov
Passport No. [REDACTED] 3661
for Lartemisis Holdings Ltd.



Mr. Alexey Kirienko
Passport No. [REDACTED] 0387

EXHIBIT 95

SUMMARY INFORMATION FOR EXANTE LIMITED

CUSTOMER SUMMARY FOR EXANTE LIMITED

Title:	EXANTE Limited
Applicant ID:	0566
Type:	ORG - Omnibus Introducing Broker - IBroker Master
Legal Country:	Malta
Mailing Address:	Portomaso Business Tower, Level 7, ST. Julians, , PTM01, Malta
E-mail Address:	ge@exante.eu
Primary user name:	exante123
Employer:	Set /Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2 Disciplinary/Public Information Ranking - A	
RELATIONSHIP TO FORMER ML RISK COUNTRY - Employee TRADER OWNER	
RELATIONSHIP TO OTHER JURISDICTION THAT MAY POSE INCREASED FRAUD RISK - SIGNATORY Employee CEO TRADER OWNER	
Qualified Intermediary (QI) IBroker	

ACCOUNT SUMMARY FOR UL1071343

UL1071343

ID:	1343 () 343)	Equity yesterday:	118 USD
Type:	Omnibus Introducing Broker	Started:	25 May 2012
Activated Capabilities:	BOND CFD FUND LEVFX OPT SSF STK MRGN	Approved:	
Status:	Open. Clearing Status	Opened:	27 Jul 2012
Phylum	C - Customer	Funded:	10 Aug 2012
Priority	NOT SET Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	
TMS tickets	TMS sticky notes	Assign to ASG	Account Diagnostic

ACCOUNT APPROVAL DECISION

CLICK to see Account Reopen History

Not ready for approval or Approval not required.

Reject the Application Terminate the Application

ACCOUNT CAPABILITIES

Approved Capabilities	[BOND, CFD, FUND, LEVFX, MRGN, MULT, OPT, SSF, STK]
Activated Capabilities	[BOND, CFD, FUND, LEVFX, OPT, SSF, STK, MRGN]

ACCOUNT UPGRADE DECISIONS

ACCOUNT UPGRADE DECISIONS

No Upgrade Decisions yet

ADDITIONAL APPLICANT/REGISTRATION INFORMATION**APPLICANT INFO**

Applicant ID: 0566
 US Tax ID: N/A
 Country of Legal residence: Malta
 Applicant Citizenship: Malta
 State of Legal residence: N/A
 Market Data Status: Professional

Primary Phone: [REDACTED]
 Mailing Address #: Portomaso Business Tower
 Level 7
 ST. Julians, N/A PTM01
 Malta
 Currency/Rate: USD / 1.0
 Marketing/Sales Info:
 N/A
 Use Trader IDs: Disabled

Change Applicant Information » |

FINANCIAL INFORMATION

Estimated Net Worth	10,000,001 - 25,000,000	Estimated Liquid Net Worth	1,000,001 - 5,000,000
Net Income	40,000 - 45,000	Sources of Funds (Other than regular income)	clients assets
Total Assets	10,000,001	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES

N/A

Edit Objective

DISCIPLINARY EVENTS

QUESTION	ANSWER	NATIVE ANSWER
Has the organization or any of its officers or authorized traders ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?	No	N/A
Has the organization or any of its officers or authorized traders ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority?	No	N/A
Have any of the principals, officers or authorized traders of the Organization ever been arrested for, or convicted of, a crime?	No	N/A

COMPLIANCE/REGULATORY INFORMATION

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, No
 director or owner of a securities or commodities brokerage firm?

Add Broker-Dealer Affiliation »

QUESTION	ANSWER	NATIVE ANSWER
Is the Organization engaged in the brokerage business? (e.g., Is the Organization registered, authorized or operating as a futures commission merchant, or securities or futures brokerage firm in the US, UK, Canada or elsewhere) If Yes, _____.	Yes	N/A

QUESTION	ANSWER	NATIVE ANSWER
Is the Organization engaged in the brokerage business ? (e.g., is the Organization registered, authorized or operating as a futures commission merchant, or securities or futures brokerage firm in the US, UK, Canada or elsewhere) If Yes, provide details	Yes	N/A
Is the organization required to be registered in the US, pursuant to NFA By-Law 1101 , with the Commodities Futures Trading Commission , or in Canada with the IDA , or in any other country, as a futures commission merchant, introducing broker, commodity pool operator, commodity trading advisor, retail foreign exchange dealer or leverage transaction merchant?	No	N/A
Is the organization a member of an exchange or an associated person, affiliated person or employee of an exchange member?	No	N/A
Is the account holder a member of a regulatory or a self-regulatory organization ?	No	N/A
Is the account holder or any of its officers: i) a director , ii) a 10% shareholder, or iii) a policy-making officer of any publicly traded company ? If Yes, enter stock symbols of companies:	No	No
Does the organization control the trading in, or have an ownership interest in, any other Interactive Brokers accounts ?	No	No
Is the organization operating under a banking license issued by an OFAC or ML Risk Country?	no	no
Is the organization operating under an offshore banking license?	N/A	N/A
Is the organization the branch of a foreign bank found or chartered in one of the listed countries?	no	no
Accepted Futures Arbitration Agreement ?	Yes	Yes

APPLICANT ASSOCIATED ENTITIES

Personal Information for Gatis Egļitis (SIGNATORY,TRADER,CEO)		hide ▶
Name: Gatis Egļitis Entity ID: ██████████ 3917 Opened Account ? Yes	US-ASCII Fields Citizenship: Latvia DOB: ██████████ 1981 City of Birth: ██████████ Drivers Lic #: ██████████ Alien ID: ██████████ Passport: ██████████ 4924(Latvia) National ID: ██████████ has Greencard: false	Email: ge@exante.eu Home Phone: ██████████ Residential Address: Portomaso Business Tower, Level 7 St. Juliens,PTM01 Malta Mailing Address: Same as residential address Occupation:
Name: Gatis Egļitis Entity ID: ██████████ 3917 Opened Account ? Yes	Native Language Fields (Language code: en) Citizenship: Latvia DOB: ██████████ 1981 City of Birth: ██████████ Drivers Lic #: ██████████ Alien ID: ██████████ Passport: ██████████ 4924(Latvia) National ID: ██████████ has Greencard: false	Email: ge@exante.eu Home Phone: ██████████ Residential Address: Portomaso Business Tower, Level 7 St. Juliens,PTM01 Malta Mailing Address: Same as residential address Occupation:
Change Info ▶	Request Proof of ID and DOB ▶	Mark as PEP ▶
Sessions:	Request Proof of Address ▶	Ownership History ▶
<div> <div> User: exante123 (11070802) </div> <div> Active in TWS:Yes </div> <div> Role: OWNER </div> <div> Token Type: N/A </div> <div> Entity Remap function moved to User Mgmt page </div> </div>		
Personal Information for Mr. Ivan Naumov (TREASURER)		hide ▶
Name: Mr. Ivan Naumov	US-ASCII Fields City of Birth:	

hide ▶

Personal Information for Mr. Ivan Naumov (TREASURER)

US-ASCII Fields

Name: Mr. Ivan Naumov
Entity ID: [REDACTED] 3938City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: false

Residential Address:

Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Ivan Naumov
Entity ID: [REDACTED] 3938City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: false

Residential Address:

Mailing Address: Same as residential address
Occupation:

Ownership History ▶

Request Proof of ID and DOB ▶

Mark as PEP ▶

Personal Information for Mr. Ivan Naumov (SECRETARY)

US-ASCII Fields

Name: Mr. Ivan Naumov
Entity ID: [REDACTED] 3949City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: false

Residential Address:

Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Ivan Naumov
Entity ID: [REDACTED] 3949City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: false

Residential Address:

Mailing Address: Same as residential address
Occupation:

Ownership History ▶

Request Proof of ID and DOB ▶

Mark as PEP ▶

Personal Information for Mr. Adriano Cefai (COMP. OFFICER)

US-ASCII Fields

Name: Mr. Adriano Cefai
Entity ID: [REDACTED] 4009City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: falseEmail: adriano@exante.eu
Work Phone: [REDACTED]

Residential Address:

Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Adriano Cefai
Entity ID: [REDACTED] 4009City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: falseEmail: adriano@exante.eu
Work Phone: [REDACTED]

Residential Address:

Mailing Address: Same as residential address
Occupation:

Ownership History ▶

Request Proof of ID and DOB ▶

Mark as PEP ▶

Personal Information for Alexey Kirilenko Mr (TRADER, OWNER)

US-ASCII Fields

Name: Alexey Kirilenko Mr
Entity ID: [REDACTED] 312Citizenship: Russian Federation
DOB: [REDACTED] 1984
City of Birth: [REDACTED]Email: alex@exante.eu
Home Phone: [REDACTED]
Work Phone: [REDACTED]

hide ▶

US-ASCII Fields

Name: Alexey Kirilenko Mr
Entity ID: 4312

Ownership percentage: 36.0

Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID:
Passport: 64No5252465(Russian Federation)
National ID:
has Greencard: false

Email: alex@exante.eu
Home Phone:
Work Phone:
Residential Address: Partizanskaya, 13-2-19
Moscow, Moscow, 119435
Malta
Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Alexey Kirilenko Mr
Entity ID: 4312

Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID:
Passport: 64No5252465(Russian Federation)
National ID:
has Greencard: false

Email: alex@exante.eu
Home Phone:
Work Phone:
Residential Address: Partizanskaya, 13-2-19
Moscow, Moscow, 119435
Malta
Mailing Address: Same as residential address
Occupation:

Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Mark as PEP ▶ Ownership History ▶

Sessions:

User:	Active in TWS: Yes	Role:	Privileges:	Functions:	Token Type:	Entity Remap function moved to User Mgmt page
729 (802)		CUSTOM	RM TA UM	AA_Authorizer_A_ACCOUNT_HOLDER CLOSURE_FINANCIAL_HISTORY_FINANCIAL_INBOUND FINANCIAL_INSTRUCTION_FINANCIAL_OUTBOUND FM_Authorizer_A_INTERNAL_ACCOUNT INTERNAL_FUNDS_REGULATORY	N/A	

Personal Information for Anatoli Knyazev Mr (TRADER, OWNER)

hide ▶

Name: Anatoli Knyazev Mr
Entity ID: 350

Ownership percentage: 27.0

Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID:
Passport: 0887(Russian Federation)
National ID:
has Greencard: false

Email: ak@exante.eu
Home Phone:
Work Phone:
Residential Address: Volkzhaya, 3-37
Oditcovo, Moscow, 119435
Russian Federation
Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Anatoli Knyazev Mr
Entity ID: 350

Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID:
Passport: 0887(Russian Federation)
National ID:
has Greencard: false

Email: ak@exante.eu
Home Phone:
Work Phone:
Residential Address: Volkzhaya, 3-37
Oditcovo, Moscow, 119435
Russian Federation
Mailing Address: Same as residential address
Occupation:

Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Mark as PEP ▶ Ownership History ▶

Sessions:

User:	Active in TWS: Yes	Role:	Privileges:	Functions:	Token Type:	Entity Remap function moved to User Mgmt page
32 (802)		CUSTOM	RM TA UM	AA_Authorizer_A_ACCOUNT_HOLDER CLOSURE_FINANCIAL_HISTORY_FINANCIAL_INBOUND FINANCIAL_INSTRUCTION_FINANCIAL_OUTBOUND FM_Authorizer_A_INTERNAL_ACCOUNT INTERNAL_FUNDS_REGULATORY	N/A	

Personal Information for Vladimir Masliakov Mr (OWNER)

hide ▶

Name: Vladimir Masliakov Mr

Citizenship: Russian Federation

Home Phone: 35620150333

Personal Information for Vladimir Masliakov Mr. (OWNER)		hide ▶
<p>Name: Vladimir Masliakov Mr Entity ID: [REDACTED] 388 Ownership percentage: 27.0</p>	<p>US-ASCII Fields</p> <p>Citizenship: Russian Federation DOB: [REDACTED] 1984 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] 609 (Russian Federation) National ID: [REDACTED] has Greencard: false</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Russian Federation DOB: [REDACTED] 1984 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] 609 (Russian Federation) National ID: [REDACTED] has Greencard: false</p>	<p>Home Phone: [REDACTED] Work Phone: [REDACTED] Residential Address: Vavilova, 58/2-108 Moscow, Moscow, 119435 Russian Federation Mailing Address: Same as residential address Occupation:</p> <p>Home Phone: [REDACTED] Work Phone: [REDACTED] Residential Address: Vavilova, 58/2-108 Moscow, Moscow, 119435 Russian Federation Mailing Address: Same as residential address Occupation:</p> <p>Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Mark as PEP ▶ Ownership History ▶</p>
Personal Information for Ivan Naumov Mr. (OWNER)		
<p>Name: Ivan Naumov Mr Entity ID: [REDACTED] 427 Ownership percentage: 10.0</p>	<p>US-ASCII Fields</p> <p>Citizenship: Lithuania DOB: [REDACTED] 1983 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] 661 (Lithuania) National ID: [REDACTED] has Greencard: false</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Lithuania DOB: [REDACTED] 1983 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] 661 (Lithuania) National ID: [REDACTED] has Greencard: false</p>	<p>Home Phone: [REDACTED] Work Phone: [REDACTED] Residential Address: Ta Gorni Road, Two hoots, Adriatika Court St. Julians, St. Julians, STJ1610 Malta Mailing Address: Same as residential address Occupation:</p> <p>Home Phone: [REDACTED] Work Phone: [REDACTED] Residential Address: Ta Gorni Road, Two hoots, Adriatika Court St. Julians, St. Julians, STJ1610 Malta Mailing Address: Same as residential address Occupation:</p> <p>Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Mark as PEP ▶ Ownership History ▶</p>
Personal Information for Andrey Okhlopov (TRADER, Employee)		
<p>Name: Andrey Okhlopov Entity ID: [REDACTED] 660</p>	<p>US-ASCII Fields</p> <p>Citizenship: Russian Federation DOB: [REDACTED] 1986 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] 8111 (Russian Federation) National ID: [REDACTED] has Greencard: false</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Russian Federation DOB: [REDACTED] 1986 City of Birth: [REDACTED] Drivers Lic #: [REDACTED] Alien ID: [REDACTED] Passport: [REDACTED] 8111 (Russian Federation) National ID: [REDACTED]</p>	<p>Email: oh@exante.eu Home Phone: [REDACTED] Residential Address: 16/2-834 Osenll Str Moscow, Moscow, 121614 Russian Federation Mailing Address: Same as residential address Occupation:</p> <p>Email: oh@exante.eu Home Phone: [REDACTED] Residential Address: 16/2-834 Osenll Str Moscow, Moscow, 121614 Russian Federation Mailing Address: Same as residential address</p>

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EXHIBIT 96



Account Form

☒ New ☐ Change

Account Name EXANTE Limited						
Branch EXT	Account Acronym EXAN	SS or Tax I.D.	Citizenship	Resident of MALTA	Initial Transaction	Date 09 17 2012
<input type="checkbox"/> Option <input type="checkbox"/> DVP	<input type="checkbox"/> Margin <input type="checkbox"/> Cash	Discretionary Authority: <input checked="" type="checkbox"/> Full <input type="checkbox"/> Limited <input type="checkbox"/> Firm Employee				Age/DOB 31
Name GATIS EGLITIS						
Marital Status single	Dependents	How was account acquired? Call in <input type="checkbox"/> Walk in <input type="checkbox"/> Advertising <input type="checkbox"/> Cold Call <input type="checkbox"/> Other <input type="checkbox"/> If referral, name of person referring account _____ How long have you known customer _____				
**Customer (s) Name and Address <input checked="" type="checkbox"/> Principal <input type="checkbox"/> Investment Manager EXANTE Limited			**First Interested Party's Name and Address <input type="checkbox"/> Principal <input type="checkbox"/> Investment Manager			
PORTOMASO BUSINESS TOWER ANNEX LEVEL 7			Gatis Eglitis			
MALTA (EU)			PORTOMASO BUSINESS TOWER ANNEX LEVEL 7			
			MALTA (EU)			
City ST.JULIANS State Zip STJ4011	City ST.JULIANS State Zip STJ4011					
Special Instructions (additional space on the back)			Delivery/Receive Instructions (If receive instructions are different from deliver instruction, check box and state instruction) <input type="checkbox"/>			
Bank Reference: HSBC Malta plc			DTC#		Institution ID	
Other Reference: FIDES Corporate Services Limited			Agent (BAS) #		Customer #	
Investment Objective (s): <input type="checkbox"/> Income <input type="checkbox"/> Growth <input type="checkbox"/> Speculation <input checked="" type="checkbox"/> Trading <input type="checkbox"/> Other (Specify)			Institutional Accounts – person authorized to transact business Name: GATIS EGLITIS Tel: () [REDACTED] Name: IVAN NAUMOV Tel: () [REDACTED]			
Financial Background Annual Income _____ Net Worth _____ Net Liquid Assets _____ Fed Tax Bracket _____ % Home Address (If different from above) Tel: ()			Individual Accounts Employer: _____ Type of Business: _____ Bus. Addr.: _____ Occupation: _____ Position: _____ Tel: () _____ Spouse's Name: _____ Type of Business: _____ Employer: _____ Occupation: _____ Address: _____ Position: _____ Tel: () _____ SSN: _____			
Is customer an officer, director or 10% shareholder of any publicly held company? <input type="checkbox"/> YES <input type="checkbox"/> NO. Is the customer (1) a broker-dealer, (2) an officer, director, general partner, employee or agent of a broker-dealer, (3) a senior officer of a banking organization (any kind), insurance company, registered investment company, registered advisory firm or other institutional type account, or a person in the securities department – or in a position to influence transactions – of any such institution, (4) an immediate family member of any person in 2 or 3, or (5) an account in which a broker-dealer or any person in 2, 3, or 4, has a beneficial interest? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO. If "YES", specify (indicate name, relationship and position): Mr. GATIS EGLITIS, Director of EXANTE Ltd						
(NOTE: If the answer to above question is or becomes "YES", advise your manager before entering any new issue trades.)						
Is the RR registered in the state where the customer resides? <input type="checkbox"/> YES <input type="checkbox"/> NO						
Reg. Rep. _____ Date _____			Sup. Approval _____ Date _____			

Rev. 4/9/2002

SEC-LEK-E-0001735

Print Name: MR. ANATOLI KNYAZEV Title: DIRECTOR Signature: [Signature]

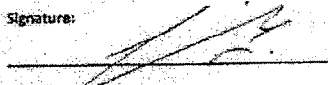


Personal Residence: VOKZALNAYA STR. 3-37 AK@EXANTE.EU
Street Address
RUSSIAN FEDERATION
Street Address2
MOSCOW 119027 [Redacted] 0887 RUS PASSPORT
City State Zip Phone Cell Phone Social Security Number

Print Name: MR. VLADIMIR MASLIAKOV Title: DIRECTOR Signature: [Signature]

Personal Residence: VAVILOVA STR. 58/2/108 VH@EXANTE.EU
Street Address
RUSSIAN FEDERATION
Street Address2
MOSCOW 119032 [Redacted] 5609 RUS PASSPORT
City State Zip Phone Cell Phone Social Security Number

Dated 20th September 2012

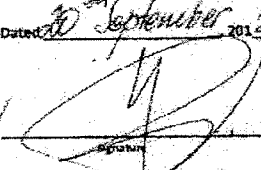
[Signature] IVAN NAIMOV DIRECTOR
Signature Print Name Title

Print Name: MR. GATISEGLITIS Title: DIRECTOR Signature: 
Personal Residence: TANCE PLACE FL 2, TRIO GEORGETOWN, DC
Street Address: 15-JORNIBT, MALTA 
Street Address 2: ST. JULIANS STJ 1350 
City: State: Zip: 4924 LVA PASSPORT
Number:

Print Name: _____ Title: _____ Signature: _____
Personal Residence: _____
Street Address: _____ email address: _____
Street Address 2: _____ Phone: _____ Cell Phone: _____
City: State: Zip:

--	--	--	--	--	--	--	--	--	--

 Social Security Number

Dated: 10 September 2012

Signature:

IVAN NAGIMOV
Print Name

DIRECTOR
Title

LISTING OF OFFICERS AND DIRECTORS OF A CORPORATION
OR
GENERAL PARTNERS OF A PARTNERSHIP OR MANAGING MEMBERS OF A LIMITED LIABILITY COMPANY

TO: LEK SECURITIES CORPORATION

The following persons are authorized to act on behalf of EXANTE LIMITED

(Insert name of organization)

Print Name:

MR IVAN NACHOV

Title:

DIRECTOR

Signature:



Personal Residence:

ADELPHIA COURT TWO HOOTS

Street Address

TA'GHOINI ROAD, MALTA

Street Address 2

ST. JULIAN'S

City

STJ1610

State

Zip

IVAN@EXANTE.EU

Street Address

Phone

Cell Phone

3661

Security Number

LTU PASSPORT

Print Name:

MR. ALEXEY KIRIENKO

Title:

DIRECTOR

Signature:



Personal Residence:

MICHURINSKY AV. 7/16/14

Street Address

RUSSIAN FEDERATION

Street Address 2

MOSCOW

City

125192

State

Zip

ALEX@EXANTE.EU

Phone

Cell Phone

2468

Security Number

RUS PASSPORT

EXHIBIT 97

SUMMARY INFORMATION FOR GLOBAL HEDGE CAPITAL FUND

CUSTOMER SUMMARY FOR GLOBAL HEDGE CAPITAL FUND

Title:	GLOBAL HEDGE CAPITAL FUND
Applicant ID:	914
Type:	ORG - Pool - Single Hedge Fund
Legal Country:	Cayman Islands
Mailing Address:	Bolsheoy Savvitsky 11, Moscow, , 119435, Russian Federation
E-mail Address:	sk@ghcg.com
Primary user name:	slov0204
Employer:	Set / Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2 Disciplinary / Public Information Ranking - A	
RELATIONSHIP TO FORMER ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) ORG (Principal Place of Business) Employee SIGNATORY FUND_MANAGER ADVISORY_SIGNATORY TRADER SHF_Investmanager FUND_ADMIN	
RELATIONSHIP TO OTHER JURISDICTION THAT MAY POSE INCREASED FRAUD RISK - Applicant (Legal Residence/Mailing Address) ORG (Principal Place of Business) Employee SIGNATORY FUND_MANAGER ADVISORY_SIGNATORY TRADER SHF_Investmanager FUND_ADMIN	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) Employee ADVISORY_SIGNATORY TRADER SHF_Investmanager	

ACCOUNTS OF GLOBAL HEDGE CAPITAL FUND

ID	VAN	Type	Activated Capabilities	Status	Priority	Equity yesterday
4444	4444	Pool	BOND CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Open.	NORMAL+	240,632 USD
444F	444F	Pool	CFD CMDTY MRGN	Open.	NORMAL+	0 USD

ACCOUNT SUMMARY FOR U824444

U824444

ID:	4444 (VAN 4444)	Equity yesterday:	240,632 USD
Type:	Pool	Started:	09 Nov 2009
Activated Capabilities:	BOND CFD FOP FUT LEVFX OPT SSF STK MRGN PMRGN	Approved:	22 Dec 2009
Status:	Open. Clearing Status	Opened:	22 Dec 2009
Phylum	C - Customer	Funded:	14 Jan 2010
Priority	NORMAL+ Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic

ACCOUNT APPROVAL DECISION

DATE OPENED

DATE CLOSED

2009-12-22 16:31:20

SEC-IB-E-0219773

DATE OPENED	DATE CLOSED
2009-12-22 16:31:20	

Decision: Accepted (Initial)

Proclamations: Based on the fact that this customer, an organization, has satisfied Interactive Brokers' threshold financial criteria to trade security futures, and based on IB's verification of relevant account information under IB's written account approval and anti-money laundering procedures, and based on my review of all information provided by the customer, including but not limited to the customer's investment objectives, annual income, net worth, liquid net worth and investment experience of its authorized officers, partners and/or traders, I approve this account for trading security futures.

I have reviewed and approved this account for portfolio margin, based on my review of all financial and investment information provided by the customer, and based on the fact that the account is eligible for uncovered option trading and has acknowledged receipt of and agreement with the Portfolio Margin Risk Disclosure.

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

2

Deciding User: smitman

Deciding Time: 22 Dec 2009 15:03:09 EST

Initial Capabilities: BOND CFD FOP FUT MRGN MULT OPT PMRGN SSF STK

Compliance Officer: jbauch

Compliance Time: 22 Dec 2009 14:20:54 EST

Risk Officer: smitman

Risk Time: 22 Dec 2009 15:03:09 EST

Additional Remarks:

ACCOUNT CAPABILITIES

Approved Capabilities	[BOND, CFD, FOP, FUT, LEVFX, MRGN, MULT, OPT, PMRGN, SSF, STK]
Activated Capabilities	[BOND, CFD, FOP, FUT, LEVFX, OPT, SSF, STK, MRGN, PMRGN]

ACCOUNT UPGRADE DECISIONS

No Upgrade Decisions yet

ADDITIONAL APPLICANT/REGISTRATION INFORMATION**APPLICANT INFO**

Applicant ID: 2914	Primary Phone: [REDACTED]
US Tax ID: N/A	Secondary Telephone: [REDACTED]
Country of Legal residence: Cayman Islands	FAX #: 7495646811
Applicant Citizenship: Cayman Islands	Mailing Address #: Bolshoy Savvitsky 11
State of Legal residence: N/A	Moscow, N/A 119435
Market Data Status: Professional	Russian Federation
Token Type: Bingo	Currency/Rate: USD / 1.0
	Marketing/Sales Info:

SEC-IB-E-0219774

Token Type: Bingo

Currency/Rate: USD / 1.0

Marketing/Sales Info:
Assisted by: self

Use Trader IDs: Disabled

A/B AUTHORIZATION

RIGHT CODE	DESCRIPTION	A AUTHORIZERS	B AUTHORIZERS	SECURITY OFFICERS
ACCESS_RIGHTS_CONF	Access Rights Configuration	0	0	1
FINANCIAL_INBOUND	Deposits	1	1	0
FINANCIAL_INSTRUCTION	Settlement Instructions	1	1	0
FINANCIAL_OUTBOUND	Withdrawals	1	1	0
INTERNAL_FUNDS	Internal Fund Transfers	1	1	0
POSITION_TRANSFERS	Position Transfers	1	1	0
USER_PRIVILEGE	Users and Access Rights	0	0	1

Show list of authorizers and security officers: ▶
Change Applicant Information ▶ |

INVESTMENT EXPERIENCE

	Years of Experience	# of Trades per Year	Knowledge Level
Stocks	6 - 10	> 100	Extensive
Options	6 - 10	11 - 25	Extensive
Commodities	6 - 10	> 100	Extensive
Bond	6 - 10	1 - 10	Extensive
FX	6 - 10	> 100	Extensive
CFD	N/A	N/A	0

FINANCIAL INFORMATION

Estimated Net Worth	3,000,000	Estimated Liquid Net Worth	3,000,000
Net Income	0	Sources of Funds (Other than regular income)	N/A
Total Assets	3,000,000	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES

Trading, Speculation, Hedging

Edit Objective

DISCIPLINARY EVENTS

QUESTION	ANSWER	NATIVE ANSWER
In the past 5 years, has the Applicant or Applicant's Investment Adviser been party to a lawsuit, arbitration or any other type of dispute or settlement procedure with a broker or dealer?	No	N/A
In the past 5 years, has the Applicant or Applicant's Investment Adviser been a party to any investigation, complaint or proceeding by any federal regulatory agency, state regulatory authority, foreign regulatory authority, or any U.S. or foreign self-regulatory body?	No	N/A
Have any of the principals, officers, or authorized traders of the funds ever been convicted of a crime?	No	N/A

proceeding by any federal regulatory agency, state regulatory agency, foreign regulatory authority, or any U.S. or foreign self-regulatory body? No N/A

Have any of the principals, officers, or authorized traders of the funds ever been convicted of a crime? No N/A

COMPLIANCE/REGULATORY INFORMATION

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, No director or owner of a securities or commodities brokerage firm?

Add Broker-Dealer Affiliation >

QUESTION	ANSWER
Does the Fund solicit investors who reside in the US?	no
Does the fund currently have accounts for investors that reside in the US?	no
Would the fund accept new investors that reside in the US?	no
Are all owners/investors of the account members of the same family?	no
Does the fund own 10% or more of the shares in any publicly-traded company?	No
Does the fund have other Interactive Brokers accounts or do the principals, officers, or authorized traders of the funds have any other Interactive Brokers accounts? If yes, enter the usernames of the accounts.	7915, 2124, 8254
Is the firm or any of its controlling officers registered in any capacity (e.g. as investment advisors) with any regulatory agency?	no
BASIS OF CLAIM FOR EXEMPTION	
Memorandum of Association	

edit Fund Compliance Info >

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, No director or owner of a securities or commodities brokerage firm?

Add Broker-Dealer Affiliation >

Is the Investment Advisor/Manager Registered With Any Regulatory Agency or Self-Regulatory Organization (U.S. or Non-U.S.)? no

Edit Compliance Information > Change Arbitration Agreement >

APPLICANT ASSOCIATED ENTITIES

Associated Legal Entity Information for Global Hedge Capital Management (SHF_Investmentmanager)		hide >
Name: Global Hedge Capital Management	Country of Organization: Cayman Islands	Phone: +
Entity ID: 5830	Tax ID: 1641 (Cayman Islands)	2nd Phone:
Type: LLC		Address: Bolshoy Savvinsky 11 Moscow, 119435 Russian Federation
Change Info > Mark as PEP > Ownership History >		
US-ASCI II Fields		
Citizenship: Russian Federation		
DOB: 984		
City of Birth:		
Drivers Lic #:		
Alien ID: 1186 (Russian Federation)		
Passport:		
National ID:		
has Greencard: false		
Native Language Fields (Language code: en)		
US-ASCI II Fields		
Email: alex@ghcg.com		
Home Phone:		
Work Phone:		
Residential Address: Partizanskaya 13-2-19 Moscow, 121351 Cayman Islands		
Mailing Address: Same as residential address		
Occupation:		
Personal Information for Alexey Kirilenko (GHCM, CEO-TRADER, ADVISORY_SIGNATORY)		
Name: Alexey Kirilenko		
Entity ID: 5861		
Opened Account ? Yes		

National ID:
has Greencard: false

Cayman Islands
Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)
Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID: 1186(Russian Federation)
Passport:
National ID:
has Greencard: false

Email: alex@ghcg.com
Home Phone: +
Work Phone: +
Residential Address: Partizanskaya 13-2-19
Moscow, 121351
Cayman Islands
Mailing Address: Same as residential address
Occupation:

Change Info > Request Proof of ID and DOB > Request Proof of Address > Mark as PEP > Ownership History >

Sessions:

User:	Active In	Role:	Privileges:	Functions:	Token	Entity Remap
alexk972 1444)	TWS:Yes	CUSTOM	RM TA UM	AA_Authorizer_A_ACCOUNT_HOLDER CLOSURE_FINANCIAL_HISTORY FINANCIAL_INSTRUCTION FINANCIAL_OUTBOUND FM_Authorizer_A_INTERNAL_ACCOUNT INTERNAL_FUNDS REGULATORY_USER_PRIVILEGE	Type: N/A	function moved to User Mgmt page

Personal Information for Alexey Kirilenko (CEO-SIGNATORY,FUND_MANAGER)

hide >

US-ASCII Fields

Name: Alexey Kirilenko
Entity ID: 2057
Opened Account ? Yes

Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID: 1186(Russian Federation)
Passport:
National ID:
has Greencard: false

Email: alex@ghcg.com
Home Phone: +
Work Phone: +

Residential Address: Michurinsky 7/1, 273
Moscow, 121351
Russian Federation
Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Alexey Kirilenko
Entity ID: 2057
Opened Account ? Yes

Citizenship: Russian Federation
DOB: 1984
City of Birth:
Drivers Lic #:
Alien ID: 1186(Russian Federation)
Passport:
National ID:
has Greencard: false

Email: alex@ghcg.com
Home Phone: +
Work Phone: +

Residential Address: Michurinsky 7/1, 273
Moscow, 121351
Russian Federation
Mailing Address: Same as residential address
Occupation:

Change Info > Request Proof of ID and DOB > Request Proof of Address > Mark as PEP > Ownership History >

Sessions:

User:	Active in TWS:	Role:	Token Type:	Entity Remap
slovo204 4444)	Yes	OWNER	Bingo	function moved to User Mgmt page

Associated Legal Entity Information for Global Hedge Capital Management (FUND_ADMIN)

hide >

US-ASCII Fields

Name: Global Hedge Capital Management
Entity ID: 2064
Type:

Country of Organization:
Tax ID: ()

Phone: 7
2nd Ph:
Fax: 74955468111
Address: Bolshoy Savvlsky 11
Moscow, 119435
Russian Federation

Change Info > Mark as PEP > Ownership History >

Personal Information for Alexey Kirilenko (CEO-SIGNATORY,FUND_MANAGER)

Russian Federation

Change Info > | Mark as PEP > | Ownership History > |

Personal Information for Anatoliy Knyazev (FUND_CONTACT)

hide >

US-ASCII Fields

Name: Anatoliy Knyazev
Entity ID: [REDACTED] 065City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: falseEmail: ak@ghcg.com
Residential Address:Mailing Address: Same as residential address
Occupation:

Native Language Fields (Language code: en)

Name: Anatoliy Knyazev
Entity ID: [REDACTED] 2065City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: falseEmail: ak@ghcg.com
Residential Address:Mailing Address: Same as residential address
Occupation:

Change Info > | Mark as PEP > | Ownership History >

Sessions:

User: anato930 [REDACTED] 1444)

Active in TWS: No

TWS Privilege: No

Role: NONE

Token Type: N/A

Entity Remap
function
moved to User
Mgmt page

Personal Information for Vladimir Masyakov (Employee)

hide >

US-ASCII Fields

Name: Vladimir Masyakov
Entity ID: [REDACTED] 3956City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: falseEmail: vm@ghcg.com
Residential Address:

Mailing Address:

Occupation:

Native Language Fields (Language code: en)

Name: Vladimir Masyakov
Entity ID: [REDACTED] 3956City of Birth:
Drivers Lic #: [REDACTED]
Alien ID:
Passport:
National ID:
has Greencard: falseEmail: vm@ghcg.com
Residential Address:

Mailing Address:

Occupation:

Change Info > | Mark as PEP > | Ownership History >

Sessions:

User: vlad153 [REDACTED] 1444)

Active in TWS: No

TWS Privilege: No

Role: NONE

Token Type: N/A

Entity Remap
function
moved to User
Mgmt page

Personal Information for Andrey Okhlopov (TRADER_Employee)

hide >

US-ASCII Fields

Mgmt page		hide
Personal Information for Andrey Okhlopkov (TRADER, Employee)		
US-ASCII Fields		
Name: Andrey Okhlopkov Entity ID: 3181	City of Birth: Drivers Lic #: Alien ID: Passport: National ID: has Greencard: false	Email: oh@ghcg.com Residential Address: Mailing Address: Occupation: Email: oh@ghcg.com Residential Address: Mailing Address: Occupation:
Native Language Fields (Language code: en)		
City of Birth: Drivers Lic #: Alien ID: Passport: National ID: has Greencard: false		
Change Info ▶ Request Proof of ID and DOB ▶ Request Proof of Address ▶ Mark as PEP ▶ Ownership History ▶		
Sessions:		
User: fixtr377 4444)		
Entity Remap function moved to User Mgmt page		
Personal Information for Vladimir Maslyakov (Employee)		
US-ASCII Fields		
Name: Vladimir Maslyakov Entity ID: 5084	City of Birth: Drivers Lic #: Alien ID: Passport: National ID: has Greencard: false	Email: vm@ghcg.com Residential Address: Mailing Address: Occupation: Email: vm@ghcg.com Residential Address: Mailing Address: Occupation:
Native Language Fields (Language code: en)		
City of Birth: Drivers Lic #: Alien ID: Passport: National ID: has Greencard: false		
Change Info ▶ Mark as PEP ▶ Ownership History ▶		
Sessions:		
User: vlad194 4444)		
Entity Remap function moved to User Mgmt page		

Sessions:

User: vlad [REDACTED] 444)	Active in TWS: No	TWS Privilege: No	Role: CUSTOM	Token Type: N/A	Entry Remap function moved to User Mgmt page
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Personal Information for Anatoliy Knyazev (TRADER, Employee)

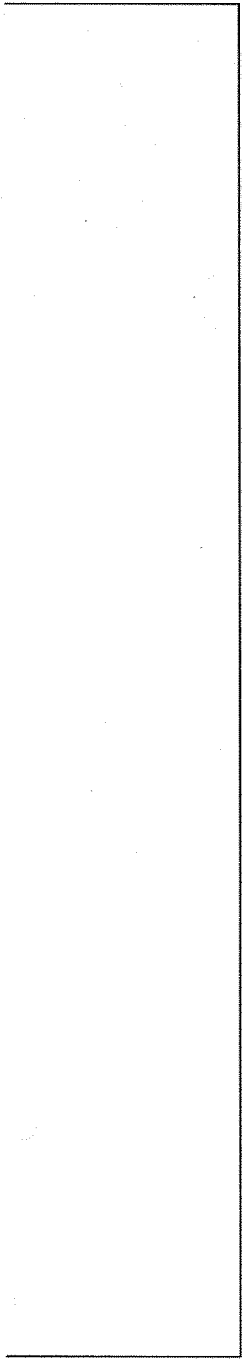



EXHIBIT 98

TERRITORY OF THE BRITISH VIRGIN ISLANDS
BVI BUSINESS COMPANIES ACT, 2004

A Certified True Copy

Jonathan (Caribbean) Limited
Registered Agent

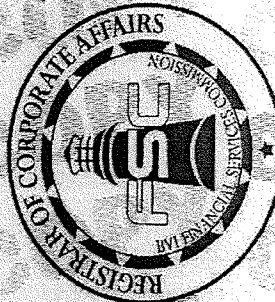
CERTIFICATE OF INCORPORATION
(SECTION 7)

The REGISTRAR of CORPORATE AFFAIRS, of the British Virgin Islands HEREBY CERTIFIES, that pursuant to the BVI Business Companies Act, 2004, all the requirements of the Act in respect of incorporation having been complied with,

Memelland Investments Ltd

BVI COMPANY NUMBER: 1673928

is incorporated in the BRITISH VIRGIN ISLANDS as a BVI BUSINESS COMPANY, this 3rd day of October, 2011.





for REGISTRAR OF CORPORATE AFFAIRS
3rd day of October, 2011

EXHIBIT 99

SUMMARY INFORMATION FOR MEMELLAND INVESTMENTS LTD

CUSTOMER SUMMARY FOR MEMELLAND INVESTMENTS LTD

Title:	Memelland Investments Ltd
Applicant ID:	1842015
Type:	ORG - Separate Trading Limit (Service Account) Master: U1104300
Legal Country:	British Virgin Islands
Mailing Address:	2, Christaki kai Eipinikiis Kimi, Flat 8, Summer Gardens, Limassol, 4046, Cyprus
E-mail Address:	memelland.investments@gmail.com
Primary user name:	dmitri985
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 3 Disciplinary/Public Information Ranking - A	
RELATIONSHIP TO OTHER JURISDICTION THAT MAY POSE INCREASED FRAUD RISK - SIGNATORY TRADER CEO OWNER	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) PRIMARY CONTRIBUTOR	

ACCOUNTS OF MEMELLAND INVESTMENTS LTD

ID	VAN	Type	Activated Capabilities	Status	Priority	Equity yesterday
4300	4300	Separate Trading Limit	BOND CFD FOP FUT LEVFX OPT STK MRGN PMRGN	Open.	NOT SET	2,025 USD
4300F	4300F	Separate Trading Limit	CFD FOP FUT OPT MRGN	Open.	NOT SET	0 USD
2799	2799	Separate Trading Limit	BOND CFD FOP FUT LEVFX OPT STK MRGN PMRGN	Open.	NOT SET	743,707 USD
2799F	2799F	Separate Trading Limit	CFD FOP FUT OPT MRGN	Open.	NOT SET	0 USD

ACCOUNT SUMMARY FOR U1232799

U1232799

ID:	2799 (VAN: 32799)	Equity yesterday:	743,707 USD
Type:	Separate Trading Limit (Service Account)	Started:	19 Jul 2013
Activated Capabilities:	BOND CFD FOP FUT LEVFX OPT STK MRGN PMRGN	Approved:	
Status:	Open. Clearing Status	Opened:	19 Jul 2013
Phylum	C - Customer	Funded:	19 Jul 2013
Priority	NOT SET Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	
ECA status:	Disabled		

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic

ACCOUNT APPROVAL DECISION

CLICK to see Account Reopen History

ACCOUNT APPROVAL DECISION

CLICK to see Account Reopen History

Decision: Accepted (Reopen)

Proclamations:

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

3

Deciding User:
Deciding Time:

Additional Remarks:

ACCOUNT CAPABILITIES

Approved Capabilities	[BOND, CFD, FOP, FUT, LEVFX, MRGN, MULT, OPT, PMRGN, SSF, STK]
Activated Capabilities	[BOND, CFD, FOP, FUT, LEVFX, OPT, STK, MRGN, PMRGN]

ACCOUNT UPGRADE DECISIONS

No Upgrade Decisions Yet

ADDITIONAL APPLICANT/REGISTRATION INFORMATION**APPLICANT INFO**

Applicant ID: 1842015
 US Tax ID: N/A
 Country of Legal residence: British Virgin Islands
 Applicant Citizenship: British Virgin Islands
 State of Legal residence: N/A
 Market Data Status: Professional
 Primary Phone: 35796288228
 Mailing Address #12, Christaki kai Elpimikis Kinni
 Flat 8, Summer Gardens
 Limassol, N/A 4046
 Cyprus
 Currency/Rate: USD / 1.0
 Marketing/Sales Info:
 N/A
 Use Trader IDs: Disabled

Change Applicant Information > |

INVESTMENT EXPERIENCE

	Years of Experience	# of Trades per Year	Knowledge Level
Stocks	4	> 100	Extensive
Options	3	51 - 100	Extensive
Commodities	3	51 - 100	Extensive
Bond	4	26 - 50	Extensive
FX	6 - 10	> 100	Extensive
CFD	N/A	N/A	0

Bond
FX
CFD

26 - 50
> 100
N/A

4
6 - 10
N/A

Extensive
Extensive
0

FINANCIAL INFORMATION

Estimated Net Worth	100,001 - 250,000	Estimated Liquid Net Worth	100,001 - 250,000
Net Income	0 - 39,999	Sources of Funds (Other than regular income)	personal savings, long term loan
Total Assets	100,001	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES

Growth, Trading, Speculation, Hedging

Edit Objective

DISCIPLINARY EVENTS

QUESTION	ANSWER	NATIVE ANSWER
Has the organization or any of its officers or authorized traders ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?	No	N/A
Has the organization or any of its officers or authorized traders ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority?	No	N/A
Have any of the principals, officers or authorized traders of the Organization ever been arrested for, or convicted of, a crime?	No	N/A

COMPLIANCE/REGULATORY INFORMATION

Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, No
director or owner of a securities or commodities brokerage firm?

Add Broker-Dealer Affiliation

QUESTION	ANSWER	NATIVE ANSWER
Is the Organization engaged in the brokerage business ? (e.g., is the Organization registered, authorized or operating as a futures commission merchant, or securities or futures brokerage firm in the US, UK, Canada or elsewhere) If Yes, provide details	No	N/A
Is the organization required to be registered in the US, pursuant to NFA By-Law 1101, with the Commodities Futures Trading Commission, or in Canada with the IDA, or in any other country, as a futures commission merchant, introducing broker, commodity pool operator, commodity trading advisor, retail foreign exchange dealer or leverage transaction merchant?	No	N/A
* NFA By-Law 1101 Statement: This is a personal/family investment vehicle (exempt from registration and no need to apply for exemption under NFA Guidance)		
Is the organization a member of an exchange or an associated person, affiliated person or employee of an exchange member?	No	N/A
Is the account holder a member of a regulatory or a self-regulatory organization ?	No	N/A
Is the account holder or any of its officers: i) a director , ii) a 10% shareholder, or iii) a policy-making officer of any publicly traded company ? If Yes, enter stock symbols of companies:	No	No
Does the organization control the trading in, or have an ownership interest in, any other Interactive Brokers accounts ?	No	No
Is the organization operating under a banking license issued by an OFAC or ML Risk Country?	no	no
Is the organization operating under an offshore banking license ?	N/A	N/A
Is the organization the branch of a foreign bank found or chartered in one of the listed countries?	no	no
Accepted Futures Arbitration Agreement ?	Yes	Yes

<p>Change Info » Request Proof of ID and DOB » Request Proof of Address » Mark as PEP » Ownership History »</p>	<p>National ID: Cyprus has Greencard: false Mailing Address: Same as residential address Occupation:</p> <p>Request Proof of ID and DOB »</p> <p>Request Proof of Address »</p> <p>Mark as PEP »</p> <p>Ownership History »</p> <p>Personal Information for Dmitrij Pruglo (PRIMARY CONTRIBUTOR)</p> <p>US-ASCII Fields</p> <p>Name:Dmitrij Pruglo Entity ID: 15114494</p> <p>City of Birth: Drivers Lic #: Alien ID: Passport: National ID: has Greencard: false Residential Address:</p> <p>Occupation: economist Employer: Memelland Investments Employer Business: Employer Address: Waterfront drive, Jordans office at Geneva place Tortola,3469 British Virgin Islands</p> <p>Mailing Address: Same as residential address</p> <p>Native Language Fields (Language code: en)</p> <p>City of Birth: Drivers Lic #: Alien ID: Passport: National ID: has Greencard: false Residential Address:</p> <p>Occupation: economist Employer: Memelland Investments Employer Business: Employer Address: Waterfront drive, Jordans office at Geneva place Tortola,3469 British Virgin Islands</p> <p>Mailing Address: Same as residential address</p> <p>Change Info » Mark as PEP » Ownership History »</p>	<p>show »</p>
	<p>Personal Information for Dmitrij Pruglo (TRADER)</p> <p>US-ASCII Fields</p> <p>Name:Dmitrij Pruglo Entity ID: 18138734</p> <p>Citizenship: Lithuania DOB: 1985 City of Birth: Drivers Lic #: Alien ID: Passport: 668(Lithuania) National ID: has Greencard: false</p> <p>Email: memelland.investments@gmail.com Home Phone: 3228 Residential Address: Iras 2 street Limassol,4532 Cyprus Mailing Address:</p> <p>Occupation:</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Lithuania DOB: 1985 City of Birth: Drivers Lic #: Alien ID: Passport: 668(Lithuania) National ID: has Greencard: false</p> <p>Email: memelland.investments@gmail.com Home Phone: 3228 Residential Address: Iras 2 street Limassol,4532 Cyprus Mailing Address:</p> <p>Occupation:</p> <p>Change Info » Request Proof of ID and DOB » Request Proof of Address » Mark as PEP » Ownership History »</p>	<p>show »</p>
	<p>Personal Information for Dmitrij Pruglo (TRADER)</p> <p>US-ASCII Fields</p> <p>Name:Dmitrij Pruglo Entity ID: 18138734</p> <p>Citizenship: Lithuania DOB: 1985 City of Birth: Drivers Lic #: Alien ID: Passport: 668(Lithuania) National ID: has Greencard: false</p> <p>Email: memelland.investments@gmail.com Home Phone: 3228 Residential Address: Iras 2 street Limassol,4532 Cyprus Mailing Address:</p> <p>Occupation:</p> <p>Native Language Fields (Language code: en)</p> <p>Citizenship: Lithuania DOB: 1985 City of Birth: Drivers Lic #: Alien ID: Passport: 668(Lithuania) National ID: has Greencard: false</p> <p>Email: memelland.investments@gmail.com Home Phone: 3228 Residential Address: Iras 2 street Limassol,4532 Cyprus Mailing Address:</p> <p>Occupation:</p> <p>Change Info » Request Proof of ID and DOB » Request Proof of Address » Mark as PEP » Ownership History »</p>	<p>show »</p>

Sessions:

User: 1985 32799)

Active in TWS:Yes

Role: TRADER

Token Type: N/A

Entity Remap
function
moved to User

Sessions:

User: [REDACTED] 99)	Active In TWS: Yes	Role: TRADER	Token Type: N/A	Entity Remap function moved to User Mgmt page
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Customer Account Supervision System - Interactive Brokers

SEC-IB-E-0210910